

10.04.00

A

10/03/00
J0003 U.S. PTO

PTO
09/677912

10/03/00

**NEW UTILITY APPLICATION REQUEST
TRANSMITTAL UNDER 37 C.F.R. §1.53(b)**

Docket No: J0003/7005

CERTIFICATE OF EXPRESS MAILING

"Express Mail" mailing label number: **EL101667589US**

Date of Deposit: **October 3, 2000**

I hereby certify that the following Correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Jan L. Mellen
Jan L. Mellen

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

This is a request for a filing of a new utility patent application under 37 C.F.R. §1.53(b) for the following:

Inventor(s): Kenneth P. Baclawski

Title: CLASSIFICATION OF INFORMATION SOURCES USING GRAPH STRUCTURES

Enclosures

30 Pages of Specification, including claims and abstract
20 Sheets of Formal Drawing(s)

- ☒ Declaration and Power of Attorney
- ☒ Assignment(s) of the Invention and Assignment Recordation Cover Sheet
- ☒ Small Entity Statement
- ☐ PTO 1449 and a copy of each cited reference
- ☒ Return Receipt Postcard
- ☐ Other:

Filing Fees

Claims as Filed					
	Claims Filed	Basic Fee Allowance	Number of Extra Claims	Rate	Fees Due
Basic Fee 37 CFR §1.16(a)					\$710.00
Total Claims (37 CFR §1.16(c))	36	- 20 =	16 X	\$18.00 =	\$ 288.00
Independent Claims (37 CFR §1.16(b))	8	- 3 =	5 X	\$80.00 =	\$ 400.00
Reduction by 50% for filing by small entity					\$ 699.00
Assignment Recording Fee					\$ 40.00
Total Filing Fee					<u>\$ 739.00</u>

Payment

- ☒ Check in the amount of the total filing fee is enclosed.
☐ Charge Account No. 02-3038 in the amount of the total filing fee.
A duplicate of this transmittal is attached.

Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge any additional fees incurred under 37 C.F.R. §1.16, §1.17 and §1.18 required by this paper and during the entire pendency of this application to Account No. 02-3038.

Correspondence Address

Please forward all correspondence to
Paul E. Kudirka, Esq. at the address of customer no:

021127



Paul E. Kudirka

Date: 10/3/00

Paul E. Kudirka, Esq. Reg. No. 26,931
KUDIRKA & JOBSE, LLP
Customer Number 021127
Tel: (617) 367-4600 Fax (617) 367-4656

**STATEMENT CLAIMING SMALL ENTITY
STATUS (Small Business Concern)**

Docket No. J0003/7005

Applicant: Kenneth P. Baclawski
Serial No: Not Yet Assigned
Filed: Herewith
For: CLASSIFICATION OF INFORMATION SOURCES USING GRAPHIC
STRUCTURES
Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned

I, the undersigned, hereby state that:

1. I am an official empowered to act on behalf of the small business concern identified below:

JARG CORPORATION
332 Second Avenue, Waltham, Massachusetts 02451

2. The above-identified small business concern qualifies as a small business concern, as defined in 13 C.F.R. §121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls, or has the power to control, the other, or a third party or parties controls, or has the power to control, both.

3. Rights under contract or law have been conveyed to, and remain with, the small business concern identified above with regard to the invention described in:

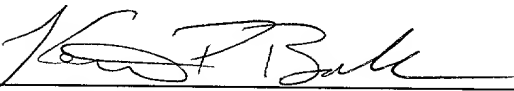
- ☒ the specification filed herewith with the title listed above.
☐ the application identified above.
☐ the patent identified above.

4. If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention is listed below:

- ☒ No such person, concern or organization exists.
☐ Each such person, concern, or organization is listed below.

5. No rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. §1.9(c) if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or any organization which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

6. I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small-entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b))



Date October 3, 2000

Name: Kenneth P. Baclawski
Title: Treasurer
Address: 332 Second Avenue, Waltham, MA 02451

PATENT: UTILITY

Docket No: J0003/7005

Inventors: Kenneth Baclawski

**CLASSIFICATION OF INFORMATION
SOURCES USING GRAPH STRUCTURES**

CLASSIFICATION OF INFORMATION SOURCES USING GRAPH STRUCTURES

RELATED APPLICATIONS

5 This application is related to, and claims priority of, U.S. provisional application
serial no 60/157,540, filed on October 4, 1999 by Kenneth P. Baclawski.

FIELD OF THE INVENTION

10 The invention relates to methods and apparatus for the classification of
information sources and the display of information to a user.

BACKGROUND OF THE INVENTION

15 The increasing popularity of high-speed computer networking has made large
amounts of data available to individuals. Methods used in the past for dealing with
information were adequate when the amount of information was small, but they do not
scale up to handle the enormous amount of information that is now easily accessible.

20 Research is a fundamental activity of knowledge workers, whether they are
scientists, engineers or business executives. While each discipline may have its own
interpretation of research, the primary meaning of the word is a “careful and thorough
search.” In most cases, the thing one is searching for is information. In other words,
one of the most important activities of modern educated individuals is searching for
information. Whole industries have arisen to meet the need for thorough searching.
These include libraries, newspapers, magazines, abstracting services and online search
services.

25 Not surprisingly, the search process itself has been studied at least since the
1930s, and a standard model was developed by the mid-1960s. In this model, the
searcher has an “information need” which the searcher tries to satisfy using a large
collection or “corpus” of information sources. The information sources that satisfy the
searcher’s needs are the “relevant” information sources. The searcher expresses an
30 information need using a formal statement called a “query.” Queries may be expressed

using topics, categories and/or words. The query is then given to a search intermediary. In the past, the intermediary was a person who specialized in searching. It is more common today for the intermediary to be a computer system. Such systems are called information retrieval systems or online search engines. The search intermediary tries to match the topics, categories and/or words from the query with information sources in the corpus. The intermediary responds with a set of information sources that, so it is hoped, satisfies the searcher's needs.

Also, in accordance with the standard model, another very commonly used technique to find information in a corpus is to start with a document and then follow citations or references within the document to find other documents in the corpus. References in these documents are then used to find further documents. This technique is called "browsing" and online browsing tools are now becoming very popular. Such tools allow a searcher to quickly follow references contained in information sources, often by simply "clicking" on a word or picture within the information source. In the standard model for information retrieval, a sharp distinction is made between searching using queries and searching using references.

Computerized search engines have been developed to assist in information retrieval. Some are primarily based on matching words in a query with words in text documents. In practice, this means that this type of search engine cannot search effectively for features of images and other kinds of multimedia. Non-word based techniques currently employ approaches to extracting relevant information that are different and distinct from those used in word based systems and generally involve extracting data "features" from the raw data. Features of images, sound and video streams can be represented in a computer system as a set of data structures stored in a database.

Features can be as simple as the value of an attribute such as brightness of an image, but many features are more complicated and are thus represented using a complex data structure. Typically, features can be extracted from structured documents by parsing the document to produce data structures, and can be extracted from unstructured documents by using one of the many feature extraction algorithms that

have been developed for implementation on a computer. As in the case of structured documents, feature extraction from an unstructured document produces data structures.

A large variety of feature extraction algorithms has been developed for media such as sound, images and video streams. For a discussion of such algorithms, see
5 *The Ninth International Conference on Image Analysis and Processing*, A. Del Bimbo, editor, v. 1311, Springer Verlag and Company, September 1997, which is incorporated in its entirety by reference.

The data structures that represent features typically conform to a “data model” for the database that determines the kinds of components and attribute values that are
10 allowed. Each feature can have one or more values associated with components of the data structure that represents the feature. In the simplest case, the data structure can have a single component with an associated value, and the feature can be represented by one attribute of the object. Features that are more complex can be represented by several inter-related components, each of which may have attribute values. The data
15 model for features at the domain level is often called an “ontology.” An ontology models knowledge within a particular domain, such as, for example, medicine. An ontology can include a concept network, specialized vocabulary, syntactic forms and inference rules. In particular, an ontology specifies the features that objects can possess as well as how to extract features from objects. When the extracted features are represented as a
20 computer data structure, the data structure is called a “knowledge representation” of the information source.

In the standard model, the quality of a search is measured using two numbers. The first number represents how thorough the search was. It is the fraction of the total number of relevant information sources that are presented to the searcher. This
25 number is called the “recall.” If the recall is less than 100%, then some relevant information sources have been missed. The second number represents the fraction of the total number of information sources that are presented to the searcher that are judged to be relevant. This number is called the “precision.” If the precision is less than 100%, then some irrelevant information sources were presented to the searcher.

The recall can always be increased by adding many more information sources to those already presented, which can decrease the precision. Similarly, the precision can be increased by reducing the number of references retrieved and presented to the searcher, which can decrease the recall. Ideally, the recall and precision should be balanced so as to achieve a search that is as careful and thorough as possible. However, typical online search engines can achieve only about 60% recall and 40% precision. Surprisingly, these performance rates have not changed significantly in the last 20 years.

The standard model for information retrieval uses recall and precision as measures of “relevance.” Relevance is a central concept in human (as opposed to computer) communication. This was recognized already in the 1940s when information science was first being formed as a discipline. The first formal in-depth discussion of relevance occurred in 1959, and the topic was discussed intensively during the 1960s and early 1970s. As a result of such discussions, researchers began to study relevance from a human perspective. The two best-known studies were by Cuadra and Katter and by Rees and Schultz, both of which appeared in 1967. The main conclusions of these studies are that the recall and precision rates used in the standard model for information retrieval do not accurately represent how people perceive relevance. People perceive an information source to be relevant if it extends their knowledge and, thus, relevance is determined by the difference between what is known and what is yet to be known. For example, if a search uncovers an information source that is already known to a searcher, the searcher will consider the source to be redundant rather than relevant. However, in accordance with the standard model for information retrieval, such a source would be considered perfectly relevant.

Therefore, there is a need for a search tool that improves the recall and precision of searches and also produces results that are perceived as relevant by the searcher.

SUMMARY OF THE INVENTION

In accordance with one embodiment, both the information sources and queries are processed to generate knowledge representations that consist of graph structures.

The knowledge representation graph structures are converted into graph structure views and the graph structure views for both the query and the information sources are then displayed to a searcher. By manipulating the graph structure views for each information source, the searcher can examine the source for relevance.

5 In accordance with another embodiment, available information sources are classified by comparing the knowledge representation of a query with the knowledge representations of the information sources by matching the graph structures with graph matching algorithms. Those information sources that have a substructure that matches the query in full, or in part, are classified by the largest matching substructure of the
10 query. Thus, it is possible for a searcher to request the “next occurrence” of a knowledge representation graph structure in an information source. In this case, the computer system searches the current information source knowledge representation for another substructure that matches the query graph structure occurring at a subsequent point in the information source. Similarly, requesting a “previous occurrence” causes
15 the system to search for a matching substructure occurring at a previous point in the information source.

20 In still another embodiment, information sources are classified by constructing hierarchies of knowledge representations. The simplest construction is obtained by using the knowledge representation of a query as the top of the hierarchy. The structures in the hierarchy are then substructures of the query. The hierarchy of structures may also be constructed by using the knowledge representation of the query as the bottom of the hierarchy. Structures in the hierarchy, in this case, are structures that contain the query. Views of this hierarchy can be displayed to a searcher with a substructure view being displayed adjacent to the information source from which it was
25 derived.

In accordance with yet another embodiment, the graph structure corresponding to a knowledge representation consists of vertices joined by directed edges. Each vertex represents a concept that can be visually portrayed as a word, phase and/or icon. A vertex may also contain a category that is visually portrayed either textually or
30 by a distinct shape, color and/or icon. An edge may be labeled by an edge type.

Different types of edges can be distinguished by using a textual label or by using a distinct shape, color and/or icon. Two vertices that are joined by an edge are called adjacent vertices. The categories, concepts and edge types used to construct the graph structure are specified by an ontology for the knowledge domain.

5 In accordance with a further embodiment, the vertices of a graph structure view can be displayed on a computer screen next to the corresponding items, such as words, phrases and visual features, of an information source view. Selecting a vertex in the graph structure view causes the selected vertex and vertices adjacent to the selected vertex to be "highlighted." In addition, the corresponding items in the information source
10 view are highlighted. Similarly, selecting a feature in the information source view causes the corresponding vertex in the graph structure to be highlighted. Highlighting can be accomplished by using the same feature (such as the same color or the same location on the screen) for corresponding parts of the two views.

15 By selecting a succession of vertices in the graph structure view, a searcher can perform knowledge navigation of the information source. By successively selecting items in the information source view, a searcher can perform knowledge exploration of the information source.

BRIEF DESCRIPTION OF THE DRAWINGS

20 The above and further advantages of the invention may be better understood by referring to the following description in conjunction with the accompanying drawings in which:

Figure 1 is a schematic block diagram that illustrates the creation and display of a graph structure from a query or an information source.

25 Figure 2 is a schematic block diagram that illustrates the processing of a query to locate and classify information sources that respond to the query using graph structures.

Figure 3 is a flowchart that illustrates the steps performed in the query processing shown in Figure 2.

Figures 4A and 4B, when placed together, form a flowchart that illustrates a process for matching a query graph structure to an information source graph structure using subgraph structures.

Figure 5 is a flowchart that illustrates a process for matching a query graph structure to an information source graph structure using supergraph structures.

Figure 6 is a screen shot of a sample display illustrating the processing of a query by means of graph structures which shows the query entered in a natural language.

Figure 7 is a screen shot of a sample display illustrating the processing of a query by means of graph structures which shows the query converted into a graph structure.

Figure 8 is a screen shot of a sample display illustrating the processing of a query by means of graph structures, which shows how vertex definitions of the graph structure are displayed.

Figure 9 is a screen shot of a sample display illustrating the processing of a query by means of graph structures, which shows how edge definitions of the graph structure are displayed.

Figure 10 is a screen shot of a sample display illustrating the processing of a query by means of graph structures which shows how processing of the query is initiated.

Figure 11 is a screen shot of a sample display illustrating the processing of a query by means of graph structures which shows the results of the processing including the graph substructures discovered in the search and the documents in which the substructures were discovered.

Figure 12 is a screen shot of a sample display illustrating the processing of a query by means of graph structures which shows how additional information concerning the results of the processing are displayed.

Figure 13 is a screen shot of a sample display illustrating the processing of a query by means of graph structures which shows how relevance navigation and exploration is initiated.

Figure 14 is a screen shot of a sample display illustrating the processing of a query by means of graph structures, which shows an expanded view of a selected information source.

Figure 15 is a screen shot of a sample display illustrating the processing of a query by means of graph structures in which items in the selected information source are highlighted to show correspondence with graph structure features.

Figure 16 is a screen shot of a sample display illustrating the processing of a query by means of graph structures, which shows how knowledge exploration is initiated.

Figure 17 is a screen shot of a sample display illustrating the processing of a query by means of graph structures which shows how corresponding vertices in the graph structure are highlighted when items are selected in the information source document.

Figure 18 is a screen shot of a sample display illustrating the processing of a query by means of graph structures which shows knowledge exploration in which corresponding vertices in the information source are highlighted when vertices are selected in the graph structure.

Figure 19 is a block schematic diagram of an illustrative hardware implementation of the inventive classification system.

DETAILED DESCRIPTION

Figure 1 illustrates the basic process by which a query or information source is converted into a graph structure that can then be visually displayed. This process begins when a query or information source 100 is provided to a knowledge extractor 102. The knowledge extractor 102 is a known processor or engine that uses a knowledge extraction algorithm to process the information in the query or information source to generate a knowledge representation of the input. The knowledge extractor 102 may also use an ontology 104 to assist in the knowledge extraction process.

A large variety of knowledge extraction algorithms has been developed for media such as sound, images and video streams. For example, medical images typically use edge detection algorithms to extract the data objects, while domain-specific knowledge is used to classify the data objects as medically significant objects, such as blood vessels, lesions and tumors. Fourier and Wavelet transformations as well as many filtering algorithms are also used for knowledge extraction. For example, wavelet analysis has been used to characterize the texture of a region and to determine a shape (such as a letter) no matter where the shape is located in, or what orientation the shape has, within the image. An example of a knowledge extraction process is described in detail in an article entitled "An Abstract Model for Semantically Rich Information Retrieval", Kenneth P. Baclawski, Northeastern University, March 30 1994, the disclosure of which is incorporated by reference in its entirety.

The result of the knowledge extraction process is a knowledge representation that, in the aforementioned article, is implemented by a graph structure called a "keynet". The keynet structure is described using the terminology of graph theory from mathematics. In particular, the structure consists of vertices and edges, where each edge connects one vertex to another (possibly the same) vertex. An edge can be labeled to indicate its purpose, and this label is called the relationship represented by the edge. Knowledge representations can also be described in accordance with a standard called the Resource Description Framework (RDF) promulgated by the World Wide Web Consortium (this standard is described at the URL, <http://www.w3c.org/RDF>.) RDF also uses graph structures to represent knowledge, but the RDF terminology differs from the terminology of graph theory used to describe keynets. In accordance with the RDF standard, vertices are called resources, and an edge is called a statement. The label on an edge is called the property represented by the edge.

The graph structures that represent the knowledge representations conform to an ontological data model that determines the kinds of components and attribute values that are allowed. Many current systems that perform knowledge extraction from information objects use very simple ontologies, but other more complicated systems can be designed.

1 The keynet graph structure can be converted into a graph structure view by
means of a graphic converter 108. The graph structure view is a visual structure that is
easy to read. The graphic converter is a simple algorithm that examines each vertex in
the keynet and determines whether the directed edges that are connected to the vertex
5 leave the vertex or enter it. The vertices are then rearranged into a more or less
hierarchical structure so that vertices with edges that only leave the vertex are located
at the top of the structure and vertices with edges that only enter the vertex are located
at the bottom. The remaining vertices are located between the top and bottom levels as
dictated by the edge connections. Algorithms for performing this rearrangement are
10 well known and an algorithm that is suitable for use with the present invention is
described in detail at the Web site located at URL,
<http://www.cs.rpi.edu/projects/pb/graphdraw>.

15 The resulting graphical structure can then be depicted as a graph structure view
110 that can be displayed in a conventional graphic user interface display. Examples of
such displays are illustrated in Figures 6-19 that are discussed in detail below.

20 In accordance with the principles of the invention, graph structure matching can
also be used to classify information sources in their order of relevance as perceived by
a human searcher. In particular, information sources can be classified according to
their relevance to a query by matching the graph structures of the information sources
to the graph structure of the query. The classification process is illustrated
schematically in Figure 2 and the steps of the process are shown in the flowchart of
Figure 3. This process starts in step 300 and proceeds to step 302 where a new query
200 is received. In step 304, a determination is made whether the query is acceptable
for use with the knowledge extractor 202. In particular, the query must be formulated
25 using the ontology 204 in order for it to operate successfully with the knowledge
extractor 202. Thus, a check must be made to ensure that the terms and relationships
described by the query are in fact compatible with the ontology 204.

30 If the query is not acceptable, the process returns to step 302 to receive a new
query. Alternatively, if the query is acceptable, the process proceeds to step 306. In
step 306, the query may be reformatted in order to make it compatible with the search

engine that will later be used to retrieve information source documents from the information source collection or corpus.

Next, in step 308, the knowledge representation embodied by the query is extracted by the knowledge extractor 202. The result is a knowledge representation 206 which, as previously discussed in the preferred embodiment of the invention, is a keynet. The knowledge representation 206 may be presented to the user for editing and modification. Alternatively, the knowledge representation 206 can be generated by the user directly without the knowledge extractor 202.

In either case, after the user confirms the form of the knowledge representation 206 or generates it himself, in step 310, the knowledge representation 206 is provided to a high recall retrieval engine 208. This retrieval engine compares the knowledge representation that corresponds to the query with knowledge representations that have been previously stored for the information sources. Retrieval engines of this type are known and operate by indexing either a single database or distributed databases to retrieve relevant documents. For example, a retrieval engine that is suitable for use with the present invention is disclosed in detail in U.S. Patent No. 5,694,593, the disclosure of which is hereby incorporated by reference in its entirety.

The retrieval engine produces a plurality of information source knowledge representations 210 and, in step 312, these knowledge representations are presented to a graph matching processor 212 along with the knowledge representation 206 of the query.

In accordance with the principles of the invention, the graph matching processor 212 organizes the collection of information source knowledge representations by their relevance to a human searcher. Thus, by progressing down the ordered list of knowledge representations, the searcher can progress through the information source knowledge representations in order of their relevance. Thus, the resulting search not only has high recall, but also has high precision and relevance. The result is an ordered list of references 214, which, in step 314, are transmitted to the user. The user may then display the list in step 316 as discussed below. The graph matching processor 212

can make use of the ontology 204 to define any appropriate inference rules during the matching process.

The graph matching processor 212 compares the query graph structure with the knowledge representations of each of the information sources and classifies the sources by constructing a hierarchy of graph structures. This hierarchy is an ordered set for which each pair of elements has a least upper bound and a greatest lower bound. The concepts in the hierarchy can be ordered by generality, i.e., a concept A is less than a concept B if A is less general (more specific) than B.

In the case of information source classifications, the hierarchy of structures may be constructed in several ways. The simplest construction is obtained by using the knowledge representation of the query as the top of the hierarchy. The structures in the hierarchy are then substructures of the query. Such structures are called subgraphs of the query. The subgraphs of the query are arranged by containment of one subgraph in another. This construction method is best suited for highly specific queries.

When the query is unspecific, for example, when the query consists of a single, commonly occurring word, a different strategy is employed, because an unspecific query matches far too many information sources for a user to process. In accordance with a preferred embodiment, the strategy for unspecific queries is to classify information sources using structures (called supergraphs) that contain more features than the original query. Supergraphs are constructed by starting with the query and adding new vertices to those already in the supergraph. The vertices are added so that each added vertex is adjacent to another vertex already in the supergraph. In addition, each supergraph must occur in at least one information source as part, or all, of its knowledge representation. The supergraphs of the query are then arranged by containment of one supergraph in another.

In general, the hierarchy of structures is constructed by using both subgraphs and supergraphs of the query. Each information source is classified by the largest structures in the hierarchy that are contained in the knowledge representation of the

information source. A single information source can belong to more than one classification.

In this way, the large set of relevant information sources is subclassified into smaller sets of information sources. The user is presented a list of relevant supergraphs and subgraphs rather than a set of information sources. The classifications and subclassifications form the hierarchical structure, called a taxonomy or classification hierarchy.

The process of comparing a query to information source documents by graphical analysis of subgraphs is illustrated in Figures 4A and 4B, which, when placed together, form a flowchart of the process. This process starts in step 400 and proceeds to step 402 in which a graph structure corresponding to the query knowledge representation is selected. The process then proceeds to step 404 where a vertex is selected in the query graph structure. In step 406, the graph structure of the information source is examined to determine whether the same vertex appears in the information source graph structure. If the vertex does not appear in the information source graph structure, as determined in step 406, then the process proceeds to step 410 in which the query graph structure is examined to determine whether more vertices are present that have not yet been processed. If there are more vertices present, the process proceeds back to step 404 and the next vertex in the query graph structure is selected for processing.

Alternatively, if in step 406, it is determined that a selected vertex in a query graph structure appears in the information source graph structure, then the routine proceeds to step 405 where information identifying the selected vertex and the corresponding information source vertex are placed in a candidate group of vertices. This information might consist, for example, of information identifying the concept and associated edges in the query graph structure and information identifying the location and content of the document features that constitute the vertices in the information source document. The process then proceeds to step 410 to determine whether more unprocessed vertices are present. If so, the process then returns to step 404 where the next unprocessed vertex is selected from the query graph structure.

Operation continues in this manner until there are no more vertices to be selected in the query graph structure. The process then proceeds, via off-page connectors 412 and 414, to step 416 in which the candidate vertex group is examined to find vertices that have corresponding edges in the query graph structure and information source graph structure. In particular, in step 416, one of the pair of vertices previously identified from the query and information source graph structures are selected in the candidate group.

Then, in step 418, the edges that appear in the query graph structure are examined. Each edge is compared to the edges in the corresponding vertex in the information source graph structure. This comparison is made in step 420. If the selected edges do not appear in the information source graph structure, then the process proceeds to step 424 in which the candidate group is examined to determine whether any vertex pairs remain that have not been processed. If so, the routine proceeds back to step 416 when the next pair of vertices in the candidate group is selected.

Alternatively, if in step 420, the selected edges appear in the information source graph structure, then the information identifying the pair of vertexes in the candidate group is placed into an intersection group in step 422. The process then proceeds to step 424 to determine if any additional vertex pairs remain. If so, the process consisting of steps 416, 418, 420 and 422 is repeated. If not, the process finishes in step 426. The result of this process is a subgraph structure of a knowledge representation that appears in the information source document that matches the query source graph structure.

In a similar manner, the process illustrated in Figure 5 can be used to construct supergraphs of the query graph structure from the information source graph structures. This process starts in step 500 and proceeds to step 502 where a vertex in the information source graph structure is selected. Next, in step 504, this selected vertex is compared to the query graph structure to determine if the vertex is in the query graph structure. If it is, the process proceeds to step 510 where it is determined whether more vertices exist in the information source graph structure that have yet to be examined. If

more vertices exist, the process proceeds back to step 502 in which the next vertex in the information source graph structure is selected. Alternatively, if, in step 504, it is determined that the vertex selected in the information source graph structure is not in the query graph structure, then the routine proceeds to step 506 in which a
5 determination is made whether the selected vertex is connected to a vertex in the query graph structure.

If not, the routine proceeds to step 510 to determine whether unprocessed vertices exist. If the selected vertex is connected to a vertex in the query graph structure, information identifying the vertex is placed in the supergraph list in step 508
10 and the process proceeds to step 510. If additional vertices remain to be processed, then steps 502, 504, 506 and 508 are repeated. If no additional vertices remain to be processed, then the process finishes in step 512.

In accordance with the principles of the invention, lists that result from the information source classification process illustrated in Figures 2 and 3 can be visually
15 displayed to a user. Advantageously, the visual display facilitates relevance exploration and relevance testing of the retrieved information source documents. Although there are various conventional display mechanisms that are suitable for use with the inventive process, preferably a window-based graphic user interface is used. An illustrative graphic user interface is shown in Figure 6. The graphic user interface consists of a
20 window, or frame, 600 which contains a conventional menu 602 with menu selections such as "File" 604 that activates a drop down menu with selections that allow a user to open, close and save search files in a conventional manner. The "Edit" menu selection 606 displays a dropdown menu with selections that allow the query to be modified. The "History" menu selection 608 displays previous versions of the query and a "Help" menu
25 selection 610 allows the user to select various help options in a conventional fashion.

In order to begin the information source classification process, a query is entered into text edit box 612 in a natural language. A push button 614 may be provided, which can be used to start the search and classification process as will hereinafter be described.

Figure 7 illustrates the display of a graph structure that has been generated from the query that has been entered into text edit box 612. In Figure 7 and Figures 8-12 that follow, elements that correspond to elements in Figure 6 have been given corresponding numerals. For example, window 600 in Figure 6 corresponds to window 700 in Figure 7. The description of the elements in Figure 6 also applies to corresponding elements in Figure 7.

As shown in Figure 7, the query in box 712 has been used to generate a graph structure 718, which is displayed at graphics display area 716 of the window 700. The graph structure 718 consists of four vertices 720, 722, 724 and 726. These vertices correspond to concepts, words and phrases that have been selected from the query by means of the knowledge extractor as described previously. The vertices 720-726 are connected together by edges 728, 730 and 732, which represent actions and/or results that are expressed in the query. As displayed in Figure 7, the structure has been folded to fit it into the graphics display area 716. The graph structure 718 not only illustrates the major concepts expressed in the query, but also their relationships as indicated by the edges 728-732.

Once the graph structure 718 is displayed, the user may examine the definitions that are part of the ontology that was used to generate the graph structure. For example, as shown in Figure 8, selecting vertex 726 by means of the cursor 840 causes a pop-up text box 842 to appear. The text box 842 contains the definition for the term in the vertex 826.

In a similar manner, the user may examine the edge definitions that are part of the ontology that was used to generate the graph structure. For example, as shown in Figure 9, selecting edge 930 by means of the cursor 940 causes a pop-up text box 944 to appear. The text box 944 contains the definition for the term represented by edge 930.

Once the query has been entered and modified by the user, the classification process is started by pressing a pushbutton on the interface. As shown in Figure 10, the classification process is started by selecting button 1014 with cursor 1040.

Figure 11 illustrates how the result of the search and classification are displayed to the user. The results may be displayed in a variety of manners that would be obvious to those skilled in the art. In the display shown in Figure 11, a scrolling list of the hierarchical list structure described above is displayed in the graphics area 1116. Each "line" in this display corresponds to one source reference. The supergraph or subgraph structures associated with that reference are shown on the left side of the display and the information source title or identifying information is shown on the right.

For example, a subgraph structure 1150 is shown on the first line and the title of the source article 1152 from which the subgraph structure was derived is shown adjacent to the subgraph structure 1150. In a similar manner, additional subgraph structures 1152-1156 and titles 1160-1164 are displayed with the most relevant source article located at the top of the list. The titles can be selected by means of the cursor.

Additional information concerning each information source can also be displayed. For example, as shown in Figure 12, this additional information might be displayed as a pop-up window 1266 when the cursor 1240 is moved over the line associated with an information source.

As previously mentioned, information source titles can be selected in order to expand the content of the information source. This operation is illustrated in Figure 13 in which title 1358 has been selected with the cursor 1340. The result is shown in Figure 14 in which the content of the document has been expanded in scrolling area 1470.

In accordance with the principles of the invention, the display shown in Figure 14 can also be advantageously used for knowledge exploration and knowledge navigation. For example Figure 15 illustrates that the document content in area 1470 has been displayed with items 1572, 1574, 1576 and 1578 corresponding to graph structure vertices highlighted. In Figure 15, this highlighting is shown as a color different from the background color, but those skilled in the art will realize that highlighting can be accomplished in other manners such as by using the same location on the screen for corresponding parts of the two views. The manner of highlighting is not important to the operation of the present invention.

In Figure 15, a related item 1579 is also highlighted. Item 1579 does not have a corresponding vertex in graph structure 1550, but is related to item 1572 which does have a corresponding vertex. In this manner, the system highlights not only those items that have corresponding vertices, but also related items.

Once the items have been highlighted, the user can successively select items of the information source to perform knowledge exploration of the information source. This is illustrated in Figures 16 and 17. In Figure 16, item 1672 has been selected with the cursor 1640, causing the item to indicate the selection, for example by changing color. As shown in Figure 17, the selection of an item 1772 in the information source document causes not only the item to be highlighted, but also related items to be highlighted. Thus, the related items 1779 and 1781 are also highlighted. The new corresponding graph structure 1780 is displayed above the content portion 1770 with the corresponding vertex 1782 to be highlighted. In this embodiment, the new graph structure replaces the query 1612 and the article title 1658 (Figure 16) with a new graph area 1783. As with the highlighting of the information source items, this highlighting can be accomplished in a variety of ways known to those skilled in the art. The highlighting of related items allows the user to better understand the relationship of the items in the information source content.

Alternatively, by selecting a succession of vertices in the graph structure, a searcher can perform knowledge navigation of the information source. This is shown in Figure 18, in which a vertex 1892 has been selected in the graph structure 1650 (Figure 16), in turn, causing the corresponding item 1874 to be highlighted in the document content section 1870. As with the selection of items in the document content, the selection of a vertex causes related vertices to also be selected in graph structure 1890 (a new graph structure 1890 reflecting these related items is also displayed in the graphic area 1883.) The corresponding items 1894 and 1896 are also highlighted in the document content 1870.

Once a vertex is located, a searcher can request the "next occurrence" of a graph structure in the information source. In this case, the computer system searches the current information source knowledge representation for another substructure that

matches the query graph structure occurring at a subsequent point in the information source. If such a substructure is found, then the corresponding vertices of the information source are highlighted. Similarly, requesting a “previous occurrence” causes the system to search for a matching substructure occurring at a previous point in the information source

Referring to Figure 19, in broad overview, one embodiment of a system of the invention includes a user computer 1900 which communicates with a classification engine comprised of computer nodes 1902, 1904 and 1906 through a network 1908. The individual computer nodes 1902-1906 may include local disks, or may, alternatively or additionally, obtain data from a network disk server (not shown.)

The computer nodes 1902-1906 of the classification engine may be of several types, including home node 1902 and index nodes 1904 and 1906. The nodes 1902-1906 of the classification engine need not represent distinct computers. In one embodiment, the classification engine consists of a single computer that takes on the roles of all home nodes 1902 and index nodes 1904-1906. In another embodiment, the classification engine consists of separate computers for each home node 1902 and index node 1904-1906. Those skilled in the art will realize many variations are possible which will still be within the scope and spirit of the present invention.

In order to process a query, a user transmits the query to the classification engine and home node 1902 receives the query. The home node 1902 is responsible for establishing the connection with the user computer 1900 to enable the user to transmit a query and to receive a response in an appropriate format. The home node 1902 may also be responsible for any authentication and administrative functionality, for example the acceptance function performed in step 304 of Figure 3. In one embodiment, the home node 1902 is a World Wide Web server communicating with the user computer 1900 using the HTTP protocol.

After verifying that the query is acceptable, the home node 1902 performs any reformatting necessary to make the query compatible with the requirements of the search engine as set forth on step 306 of Figure 3. The home node 1902 then transmits the query to the classification engine consisting of nodes 1904-1906 that, as previously

discussed performs a search and classification of the information sources. This processing may involve the query being presented to a knowledge extractor that utilizes an ontology to extract a knowledge representation from the query. Alternatively, the user may transmit a knowledge representation directly to the classification engine without the step of knowledge extraction.

Upon receiving confirmation from the user that the knowledge representation is correct, the home node 1902 provides the query knowledge representation to a high recall retrieval engine which produces a collection of information source knowledge representations which collection is then transmitted to the graph matching processor along with the query knowledge representation. The results are then conveyed back to the home node 1902 and from there to the user computer 1900 for display as previously discussed.

In the preceding description, numerous specific details are set forth describing specific representations of data such as graphical displays and hierarchical displays, in order to provide a thorough understanding of the present invention. However, it will be apparent to one of ordinary skill in the art to which the present invention pertains, that the present invention may be practiced without the specific details disclosed herein. In other instances, well known system or processes have not been shown in detail in order not to obscure the present invention unnecessarily.

What is claimed is:

CLAIMS

- 1 1. A method for locating and classifying information sources in response to a query,
2 the method comprising:
 - 3 (a) providing a knowledge representation graph structure of the query to a
4 retrieval engine that locates a collection of information sources and
5 generates an information source knowledge representation graph
6 structure of each located information source in the collection; and
 - 7 (b) matching the query knowledge representation graph structure to the
8 information source knowledge representation graph structures obtained in
9 step (a) to generate a hierarchy of supergraph structures and subgraph
10 structures in which each of the supergraph structures and subgraph
11 structures corresponds to at least one information source.
- 1 2. The method according to claim 1 wherein the query knowledge representation
2 graph structure and each of the information source knowledge representation
3 graph structures comprises vertices that represent concepts, words and phrases.
- 1 3. The method according to claim 1 wherein the query knowledge representation
2 graph structure and each of the information source knowledge representation
3 graph structures comprises directed edges that represent actions and relations.
- 1 4. The method according to claim 1 further comprising:
 - 2 (c) visually displaying the knowledge representation graph structure of the
3 query to a user.
- 1 5. The method according to claim 1 wherein step (b) comprises displaying the
2 supergraph structures and subgraph structures in the hierarchy.

- 1 6. The method according to claim 5 wherein step (b) further comprises displaying
2 information identifying a selected information source adjacent to a supergraph
3 and subgraph structure generated from the selected information source.
- 1 7. The method according to claim 1 wherein step (b) comprises displaying the
2 hierarchy and identifying information for each information source.
- 1 8. The method according to claim 1 wherein step (a) comprises generating the
2 query knowledge representation by processing the query with a knowledge
3 extractor.
- 1 9. The method according to claim 1 wherein step (a) comprises obtaining the query
2 knowledge representation from a user.
- 1 10. The method according to claim 1 wherein a supergraph structure comprises an
2 information source knowledge representation graph structure that does not
3 contain any vertices in query knowledge representation graph structure but
4 contains vertices connected to the query knowledge representation graph
5 structure vertices.
- 1 11. The method according to claim 1 wherein a subgraph structure comprises an
2 information source knowledge representation which is entirely contained within
3 the query knowledge representation graph structure.
- 1 12. A method for navigating and exploring an information source located by matching
2 a query knowledge representation to knowledge representations in the
3 information source, the method comprising:
4 (a) visually displaying the query knowledge representation as a graph
5 structure having features comprising vertices connected by edges;

- 6 (b) visually displaying the content of the information source in the vicinity of
7 the graph structure; and
8 (c) highlighting items in the information source content that correspond to the
9 vertices and edges of the graph structure.

1 13. The method according to claim 12 further comprising:

- 2 (d) highlighting a feature in the graph structure in response to a user
3 selection; and
4 (e) highlighting an item in the information source content, which item
5 corresponds to the selected feature.

1 14. The method according to claim 13 further comprising:

- 2 (f) highlighting related features in the graph structure which are adjacent to
3 the selected feature; and
4 (g) highlighting related items in the information source content, which related
5 items correspond to the related features.

1 15. The method according to claim 12 further comprising:

- 2 (h) highlighting an item in the information source content in response to a
3 user selection; and
4 (i) highlighting a feature in the graph structure, which feature corresponds to
5 the selected item.

1 16. The method according to claim 15 further comprising:

- 2 (j) highlighting related items in the information source content which are
3 adjacent to the selected item; and
4 (k) highlighting related features in the graph structure, which related features
5 correspond to the related items.

- 1 17. Apparatus for locating and classifying information sources in response to a
2 query, the apparatus comprising:
3 a retrieval engine that receives a knowledge representation graph
4 structure of the query and, in response thereto, locates a collection of information
5 sources and generates an information source knowledge representation graph
6 structure of each located information source in the collection; and
7 a graph matching processor that matches the query knowledge
8 representation graph structure to the information source knowledge
9 representation graph structures obtained by the retrieval engine to generate a
10 hierarchy of supergraph structures and subgraph structures in which each of the
11 supergraph structures and subgraph structures corresponds to at least one
12 information source.
- 1 18. The apparatus according to claim 17 wherein the query knowledge
2 representation graph structure and each of the information source knowledge
3 representation graph structures comprises vertices that represent concepts,
4 words and phrases.
- 1 19. The apparatus according to claim 17 wherein the query knowledge
2 representation graph structure and each of the information source knowledge
3 representation graph structures comprises directed edges that represent actions
4 and relations.
- 1 20. The apparatus according to claim 17 further comprising a visual display that
2 displays the knowledge representation graph structure of the query to a user.
- 1 21. The apparatus according to claim 17 further comprising a graphical user interface
2 that displays the supergraph structures and subgraph structures in the hierarchy.

- 1 22. The apparatus according to claim 21 wherein the graphical user interface
2 comprises an area that displays information identifying a selected information
3 source adjacent to a supergraph and subgraph structure generated from the
4 selected information source.
- 1 23. The apparatus according to claim 17 further comprising a graphical user interface
2 that displays the hierarchy and identifying information for each information
3 source.
- 1 24. The apparatus according to claim 17 further comprising a knowledge extractor
2 that processes the query to generate the query knowledge representation.
- 1 25. The apparatus according to claim 17 further comprising a user interface that
2 obtains the query knowledge representation from a user.
- 1 26. The apparatus according to claim 17 wherein a supergraph structure comprises
2 an information source knowledge representation graph structure that does not
3 contain any vertices in query knowledge representation graph structure but
4 contains vertices connected to the query knowledge representation graph
5 structure vertices.
- 1 27. The apparatus according to claim 17 wherein a subgraph structure comprises an
2 information source knowledge representation, which is entirely contained within
3 the query knowledge representation graph structure.
- 1 28. Apparatus for navigating and exploring an information source located by
2 matching a query knowledge representation to knowledge representations in the
3 information source, the apparatus comprising:

4 a visually display having an area for displaying the query knowledge
5 representation as a graph structure having features comprising vertices
6 connected by edges and an area for displaying the content of the information
7 source in the vicinity of the graph structure; and

8 a user selection device that enables a user to highlight items in the
9 information source content that correspond to the vertices and edges of the
10 graph structure.

1 29. The apparatus according to claim 28 further comprising:

2 a mechanism that highlights a feature in the graph structure in response to
3 a user selection with the user selection device; and

4 a mechanism that highlights an item in the information source content,
5 which item corresponds to the selected feature.

1 30. The apparatus according to claim 29 further comprising:

2 a mechanism that highlights related features in the graph structure which
3 are adjacent to the selected feature; and

4 a mechanism that highlights related items in the information source
5 content, which related items correspond to the related features.

1 31. The apparatus according to claim 28 further comprising:

2 a mechanism that highlights an item in the information source content in
3 response to a user selection with the user selection device; and

4 a mechanism that highlights a feature in the graph structure, which feature
5 corresponds to the selected item.

1 32. The apparatus according to claim 31 further comprising:

2 a mechanism that highlights related items in the information source
3 content which are adjacent to the selected item; and

4 a mechanism that highlights related features in the graph structure, which
5 related features correspond to the related items.

1 33. A computer program product for locating and classifying information sources in
2 response to a query, the computer program product comprising a computer
3 usable medium having computer readable program code thereon, including:
4 program code for providing a knowledge representation graph structure of
5 the query to a retrieval engine that locates a collection of information sources and
6 generates an information source knowledge representation graph structure of
7 each located information source in the collection; and
8 program code for matching the query knowledge representation graph
9 structure to the information source knowledge representation graph structures
10 obtained in step (a) to generate a hierarchy of supergraph structures and
11 subgraph structures in which each of the supergraph structures and subgraph
12 structures corresponds to at least one information source.

1 34. A computer program product for navigating and exploring an information source
2 located by matching a query knowledge representation to knowledge
3 representations in the information source, the computer program product
4 comprising a computer usable medium having computer readable program code
5 thereon, including:

6 program code for visually displaying the query knowledge representation
7 as a graph structure having features comprising vertices connected by edges;

8 program code for visually displaying the content of the information source
9 in the vicinity of the graph structure; and

10 program code for highlighting items in the information source content that
11 correspond to the vertices and edges of the graph structure.

- 1 35. A computer data signal embodied in a carrier wave for locating and classifying
2 information sources in response to a query, the computer data signal comprising:
3 program code for providing a knowledge representation graph structure of
4 the query to a retrieval engine that locates a collection of information sources and
5 generates an information source knowledge representation graph structure of
6 each located information source in the collection; and
7 program code for matching the query knowledge representation graph
8 structure to the information source knowledge representation graph structures
9 obtained in step (a) to generate a hierarchy of supergraph structures and
10 subgraph structures in which each of the supergraph structures and subgraph
11 structures corresponds to at least one information source.
- 1 36. A computer data signal embodied in a carrier wave for navigating and exploring
2 an information source located by matching a query knowledge representation to
3 knowledge representations in the information source, the computer data signal
4 comprising:
5 program code for visually displaying the query knowledge representation
6 as a graph structure having features comprising vertices connected by edges;
7 program code for visually displaying the content of the information source
8 in the vicinity of the graph structure; and
9 program code for highlighting items in the information source content that
10 correspond to the vertices and edges of the graph structure.

ABSTRACT OF THE DISCLOSURE

In a knowledge classification system, both the information sources and queries are processed to generate knowledge representation graph structures. The graph structures for both the query and the information sources are then converted to views and displayed to a searcher. By manipulating the graph structure views for each information source, the searcher can examine the source for relevance. A search can be performed by comparing the graph structure of the query to the graph structure of each information source by a graph matching computer algorithm. Information sources are classified by constructing hierarchies of knowledge representations. The simplest construction is obtained by using the knowledge representation of a query as the top of the hierarchy. The structures in the hierarchy are substructures of the query. The hierarchy of structures may also be constructed by using the knowledge representation of the query as the bottom of the hierarchy. Structures in the hierarchy, in this case, are structures that contain the query. The vertices of a graph structure view can be displayed on a computer screen next to the corresponding items, such as words, phrases and visual features, of an information source view. Selecting a vertex in the graph structure causes the selected vertex and vertices adjacent to the selected vertex to be "highlighted." By selecting a succession of vertices in the graph structure, a searcher can perform knowledge navigation of the information source. By successively selecting items of the information source, a searcher can perform knowledge exploration of the information source.

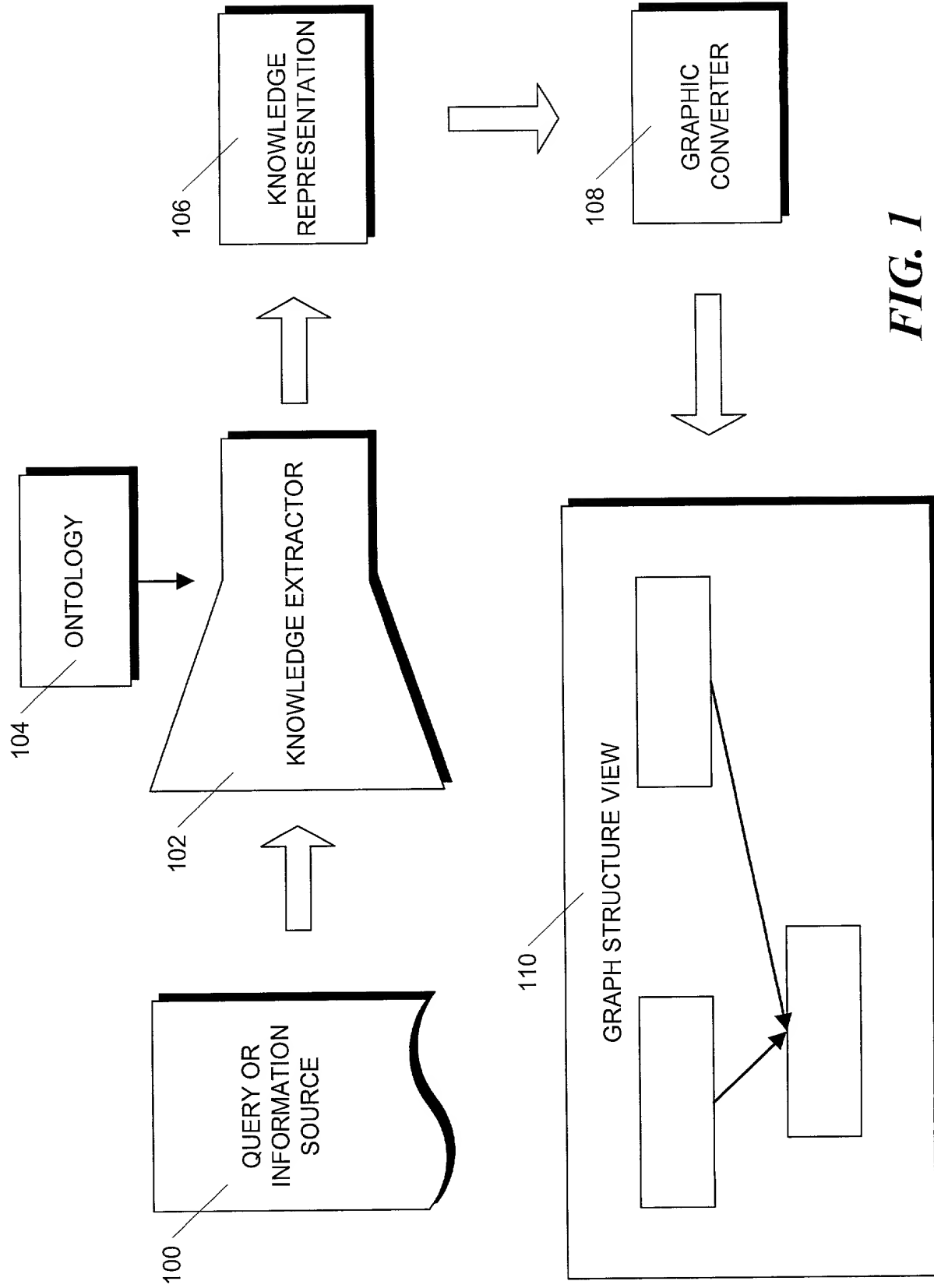


FIG. 1

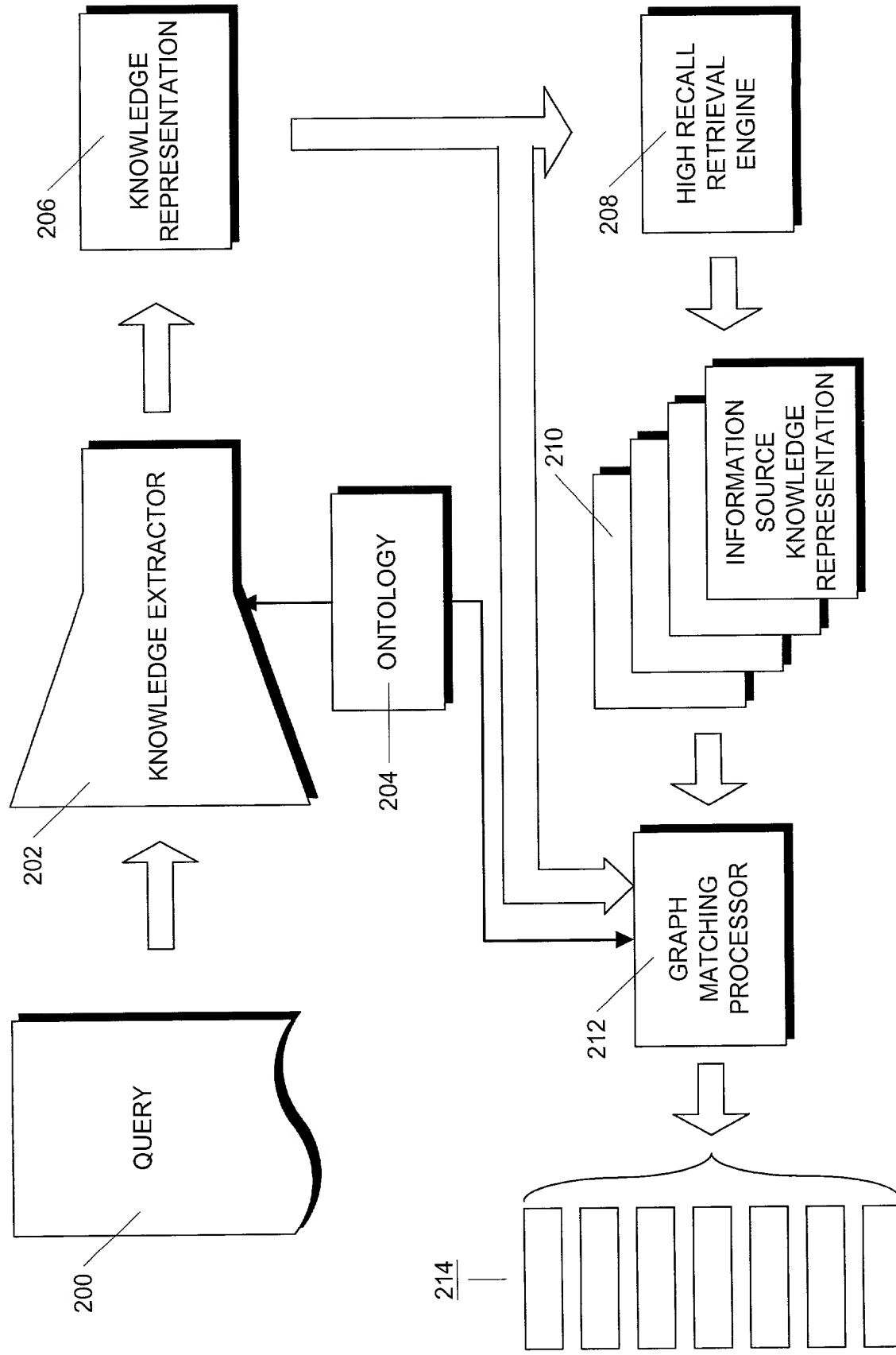


FIG. 2

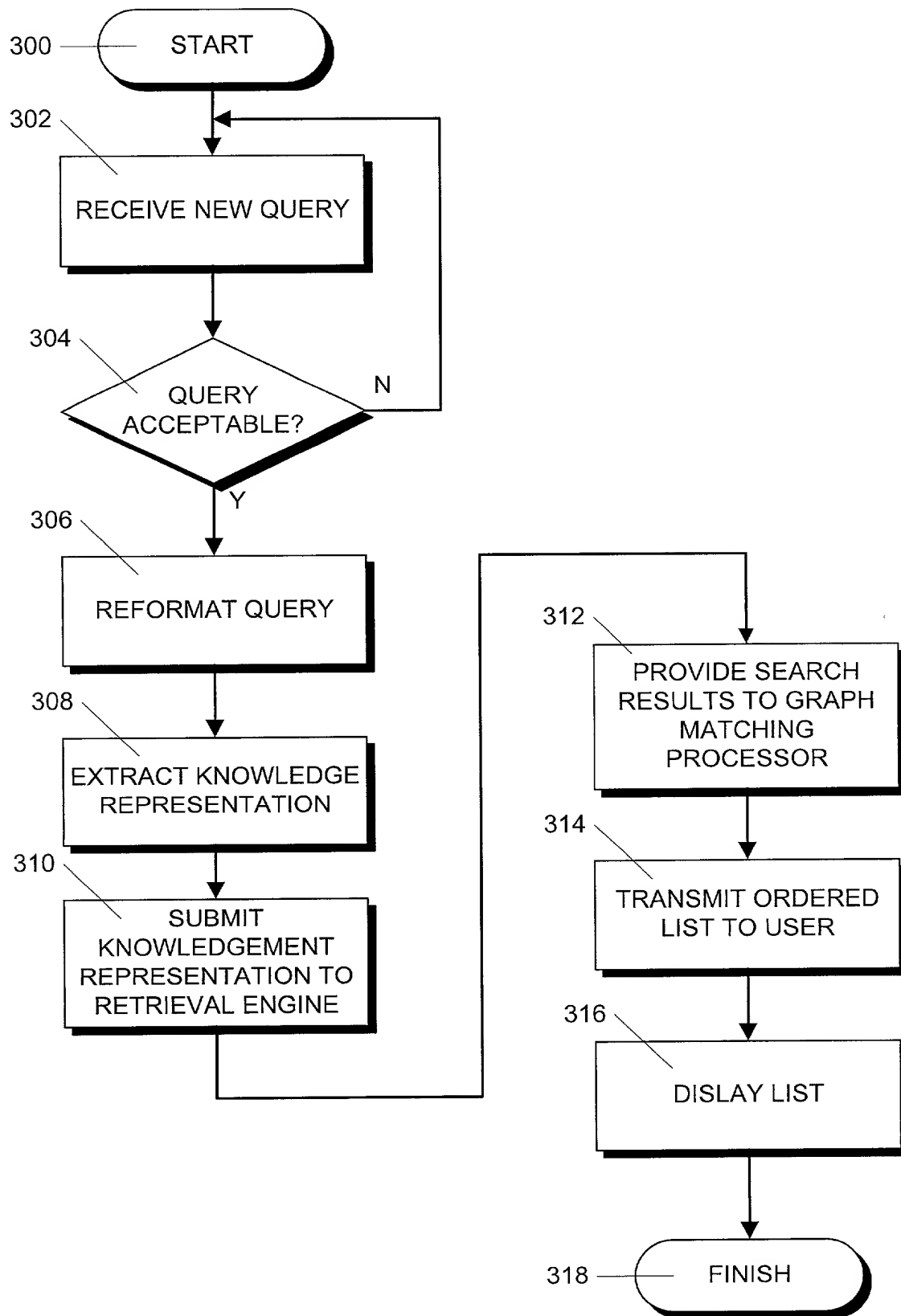


FIG. 3

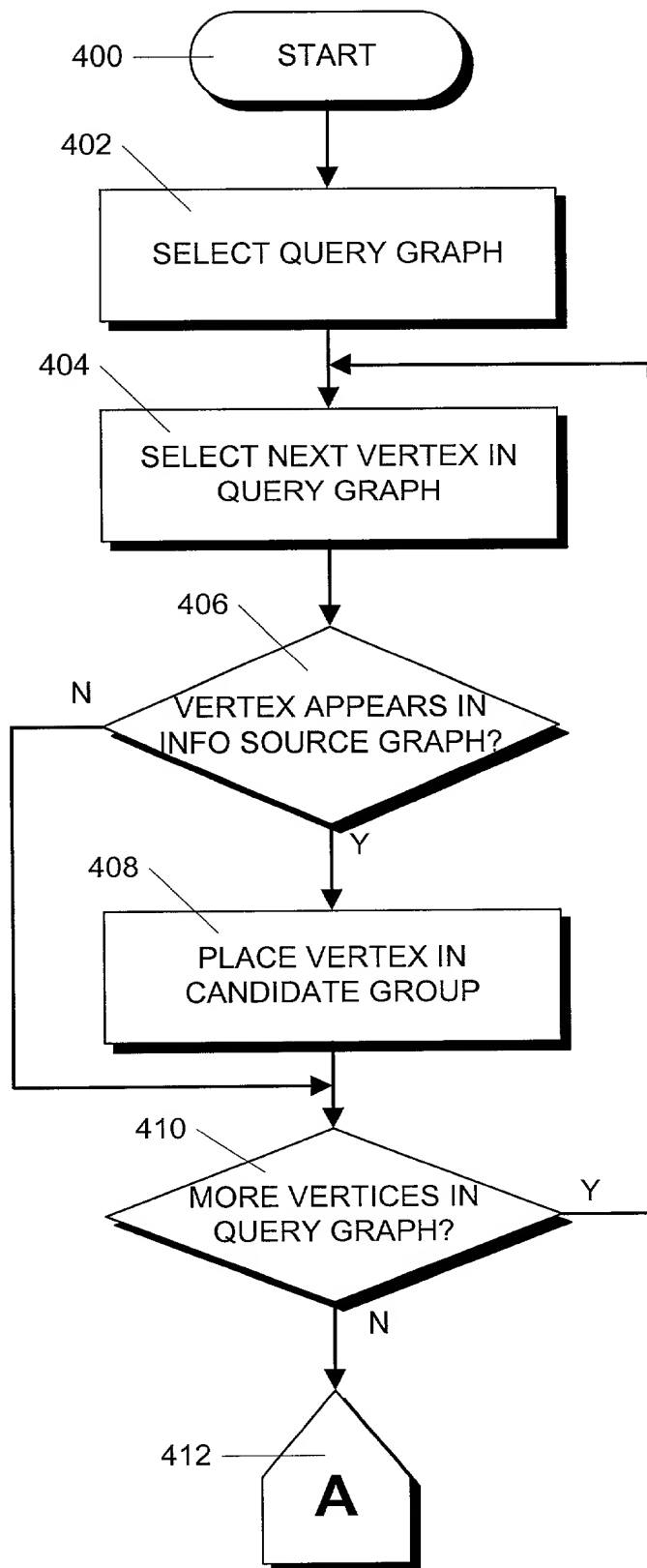


FIG. 4A

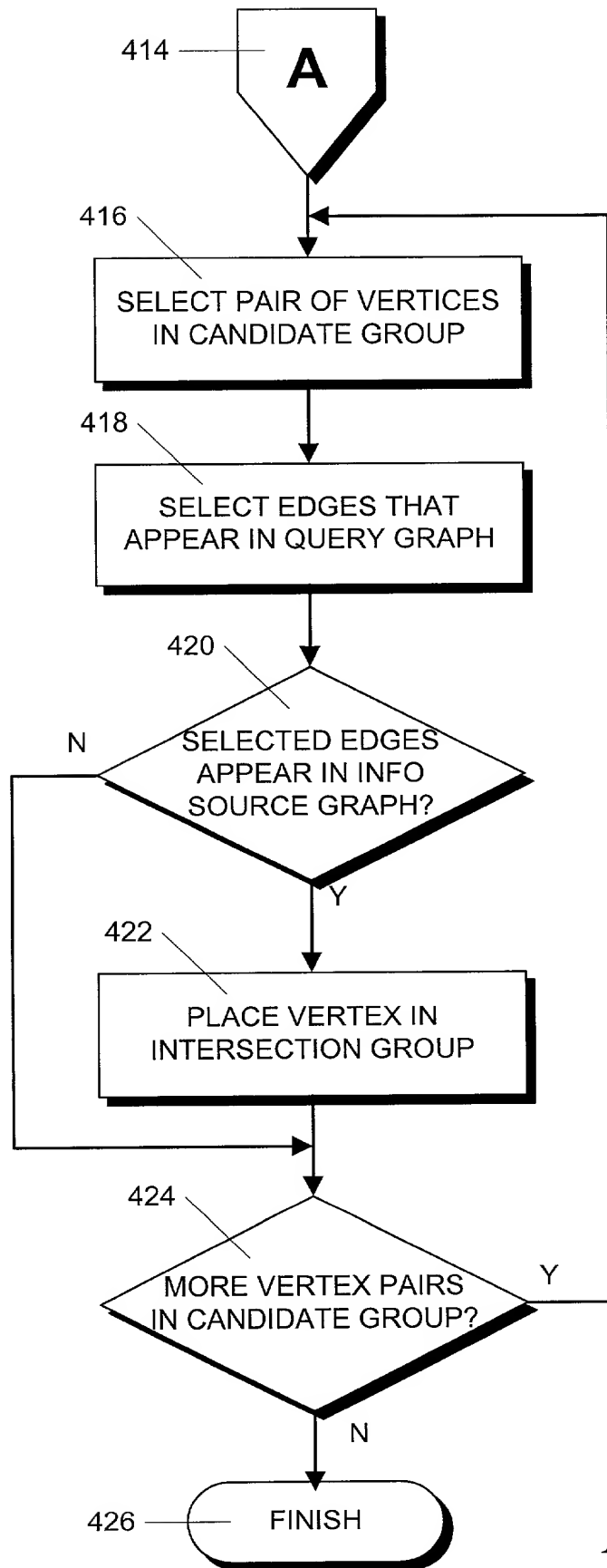


FIG. 4B

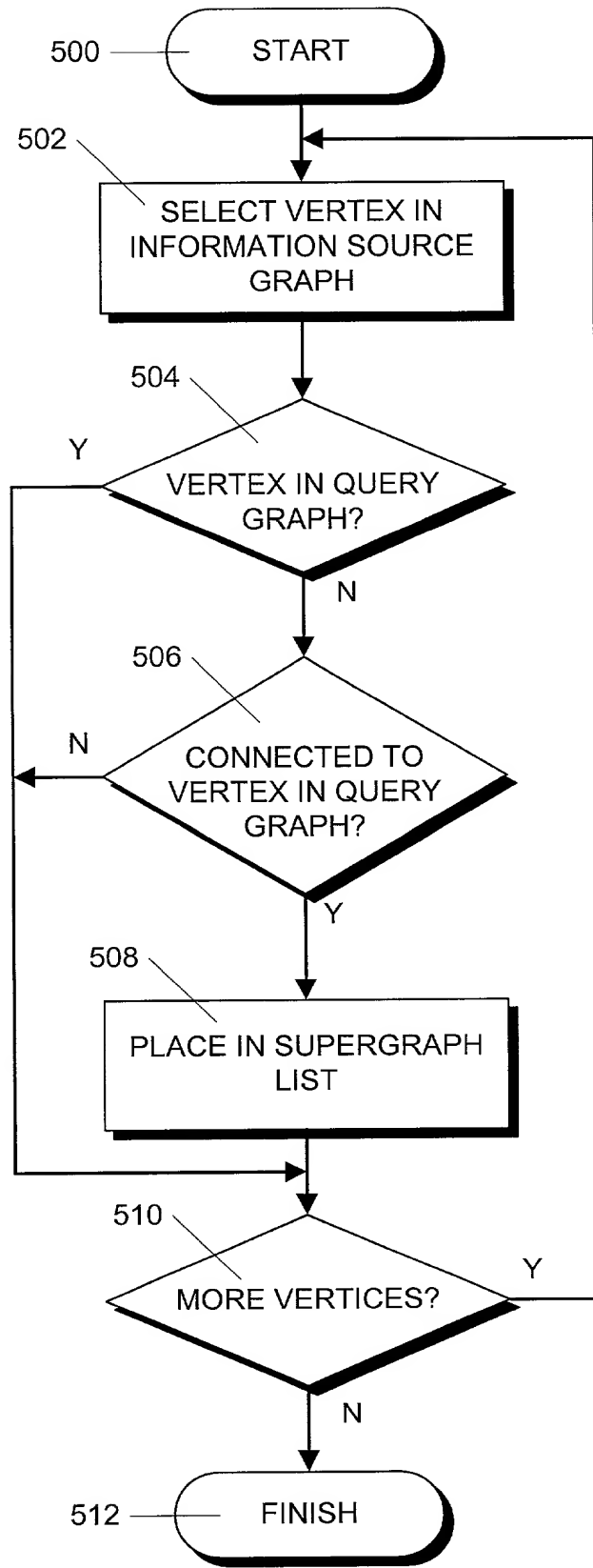


FIG. 5

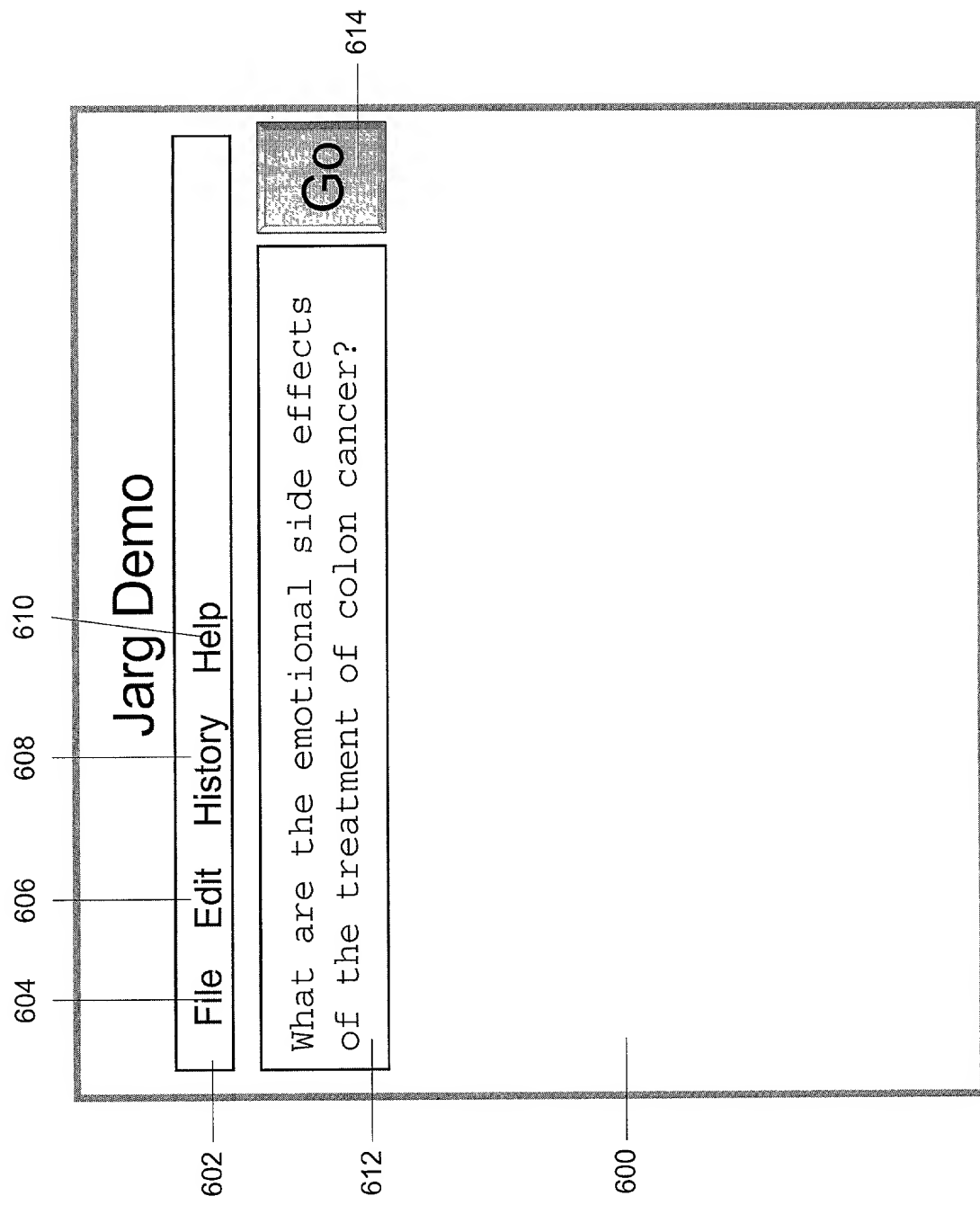


FIG. 6

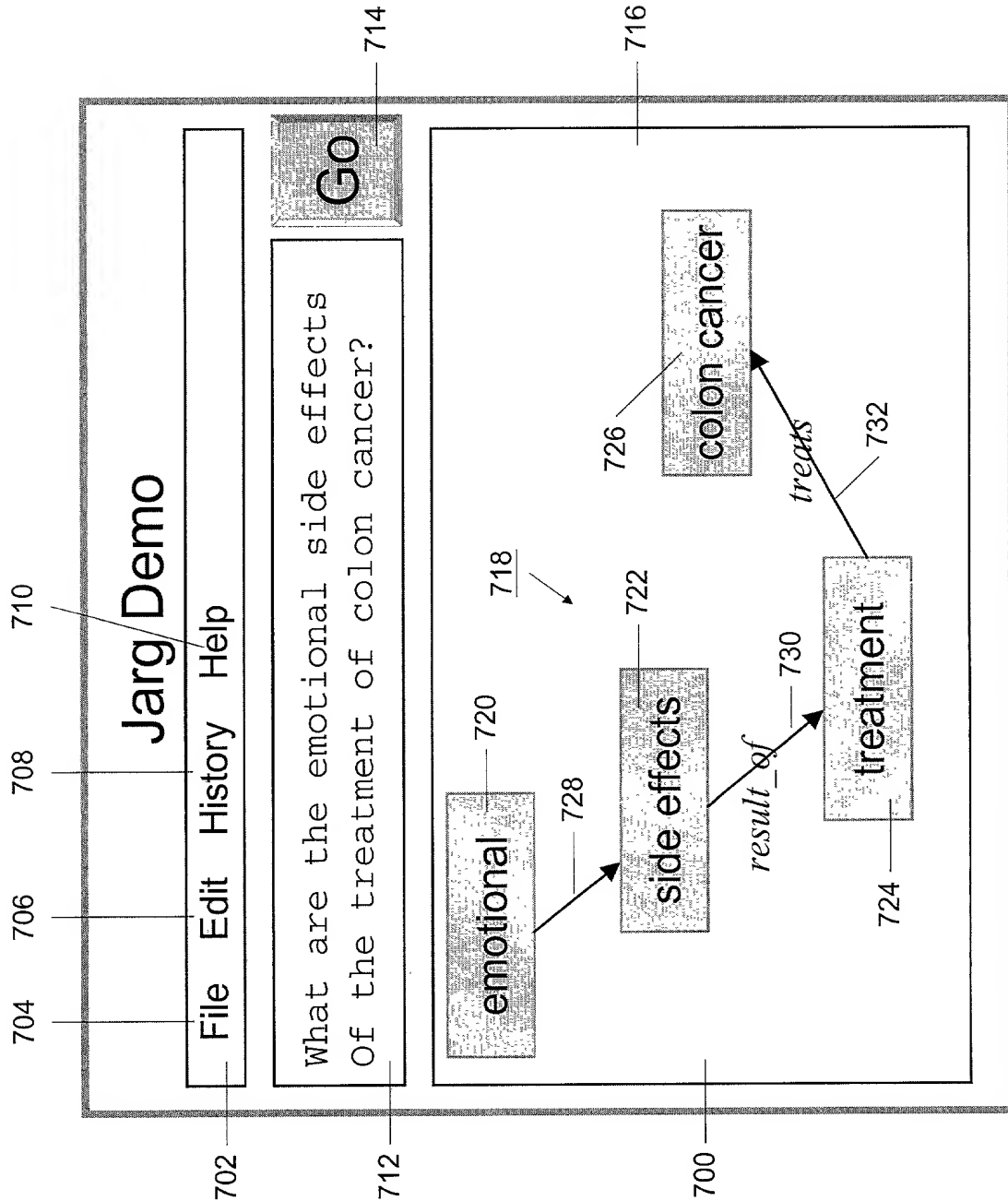


FIG. 7

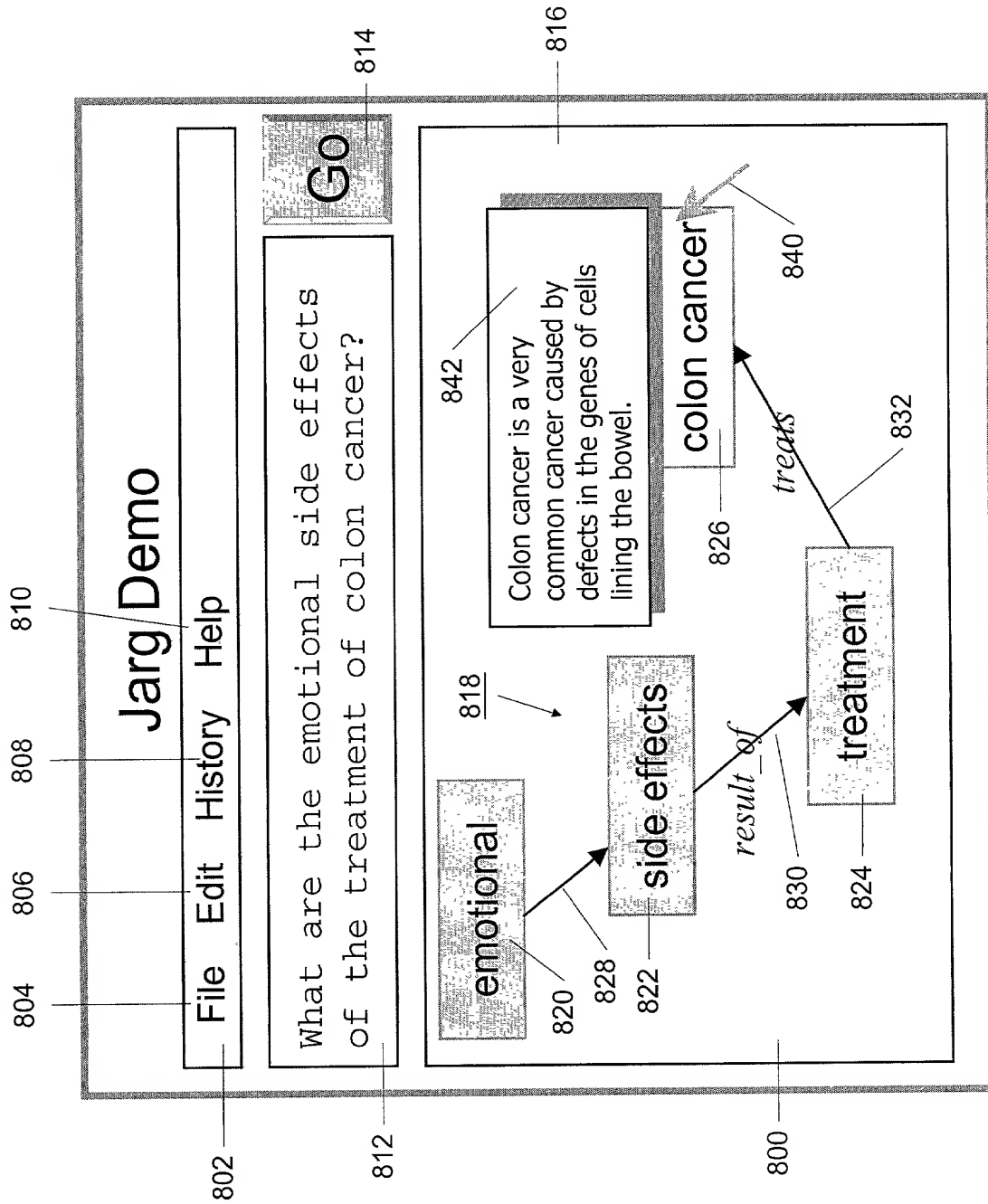


FIG. 8

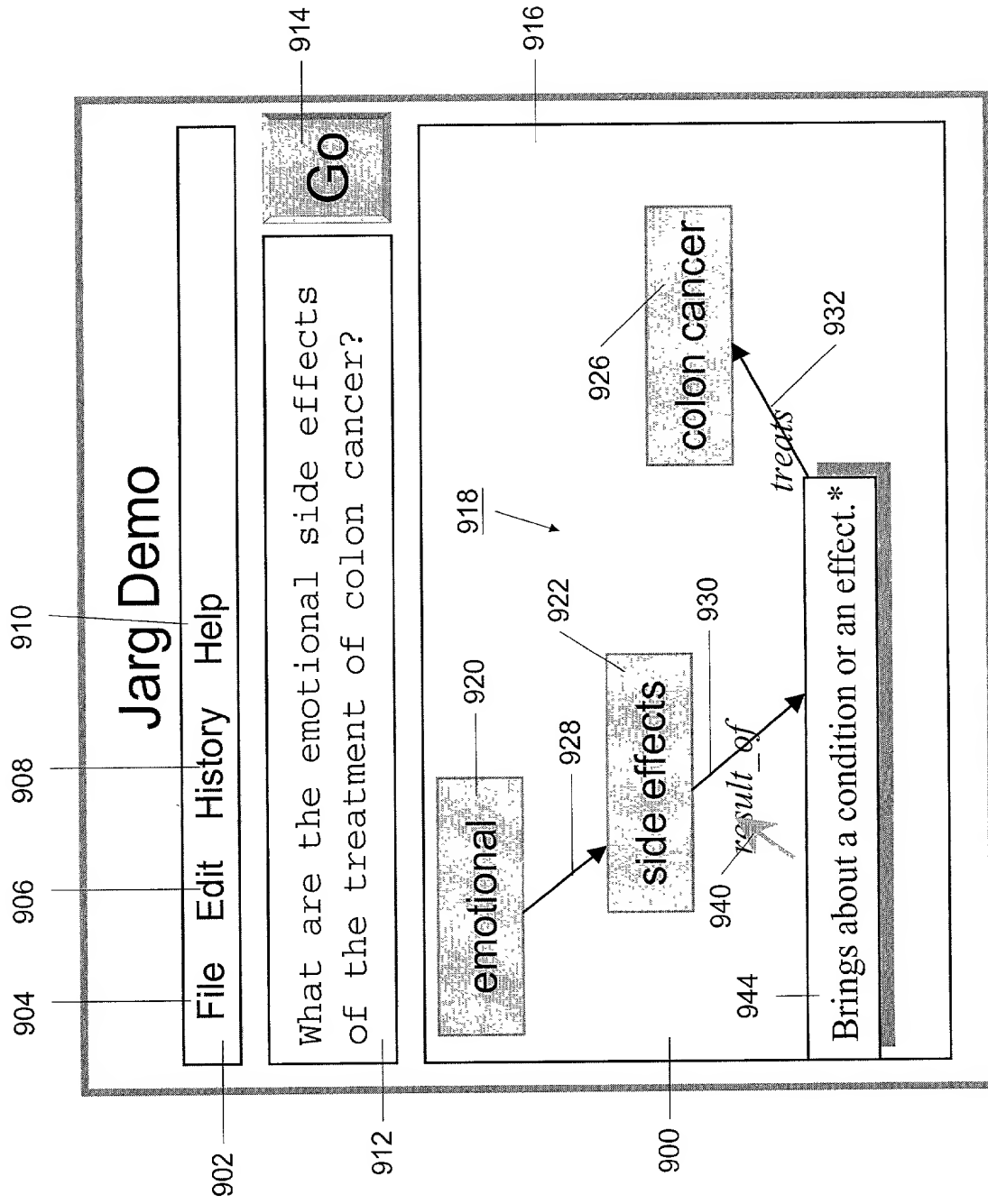


FIG. 9

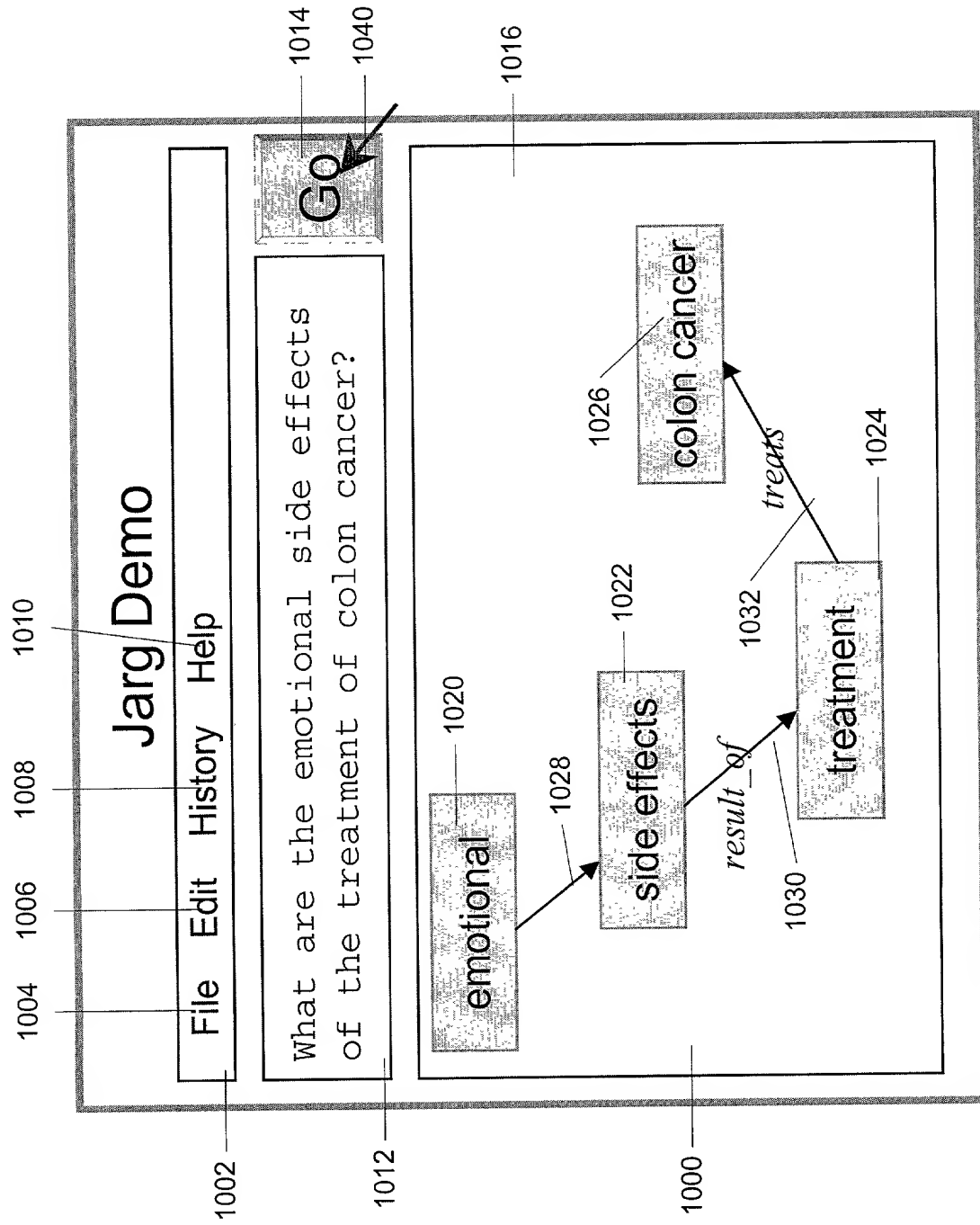


FIG. 10

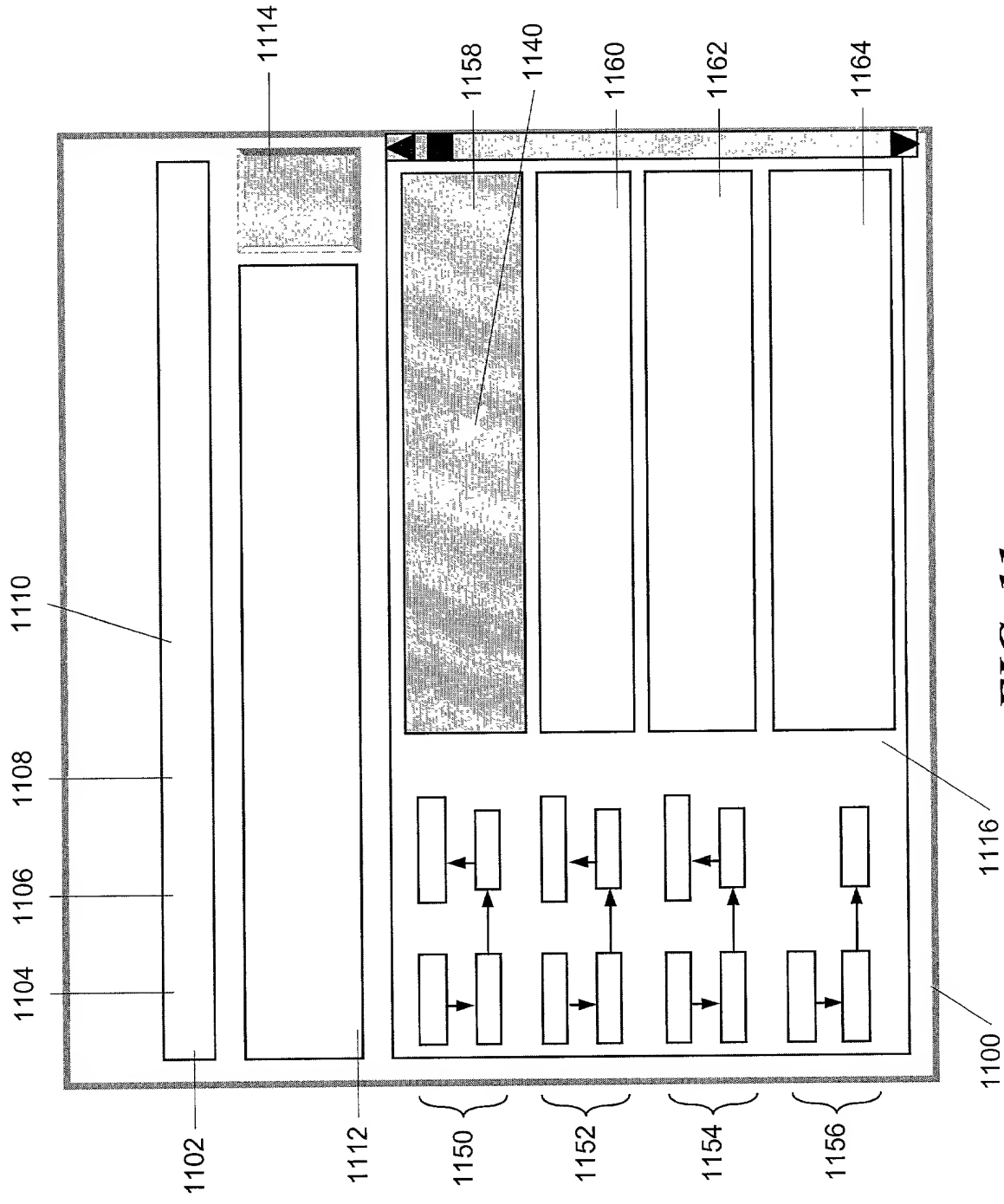
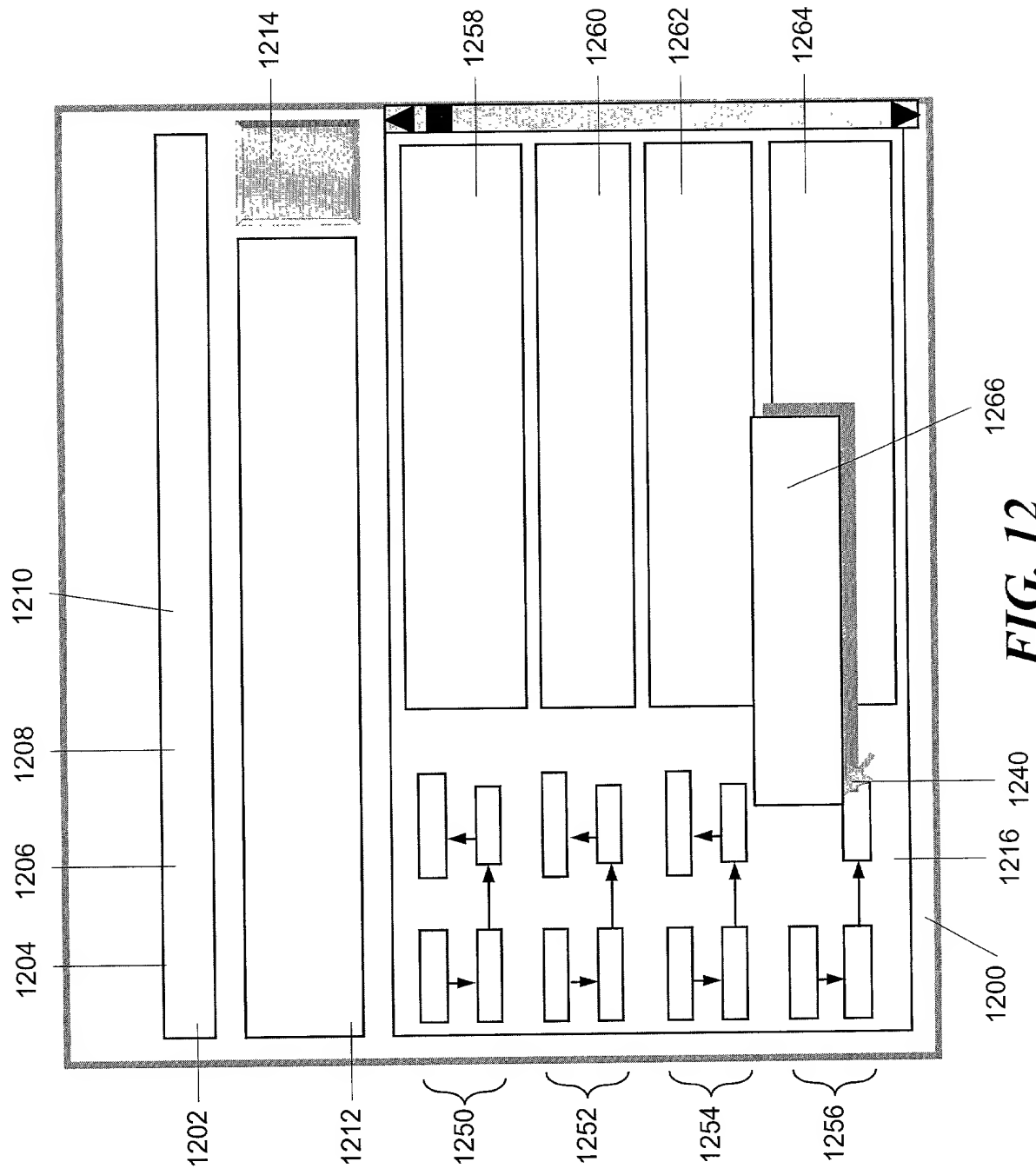


FIG. 11



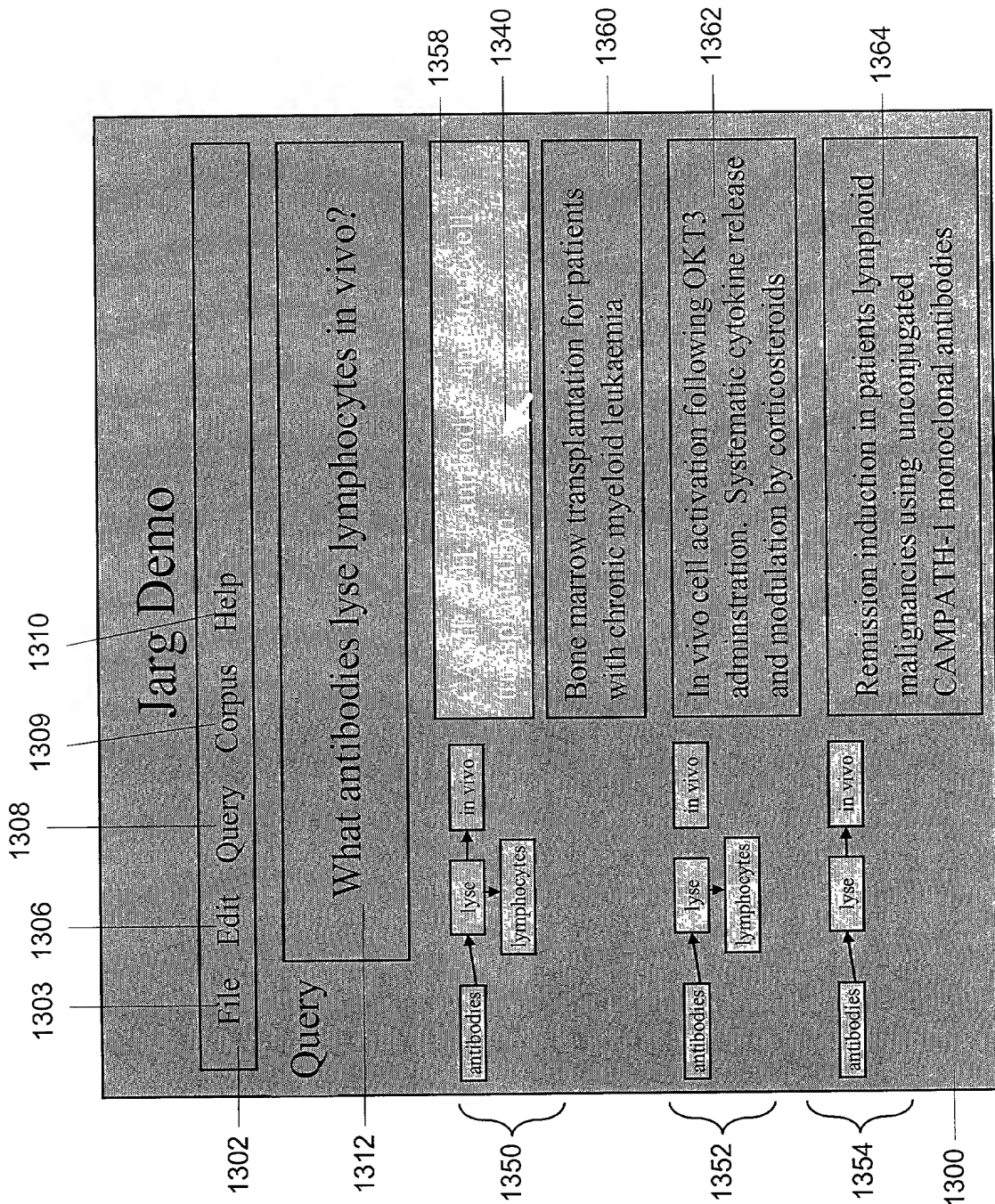


FIG. 13

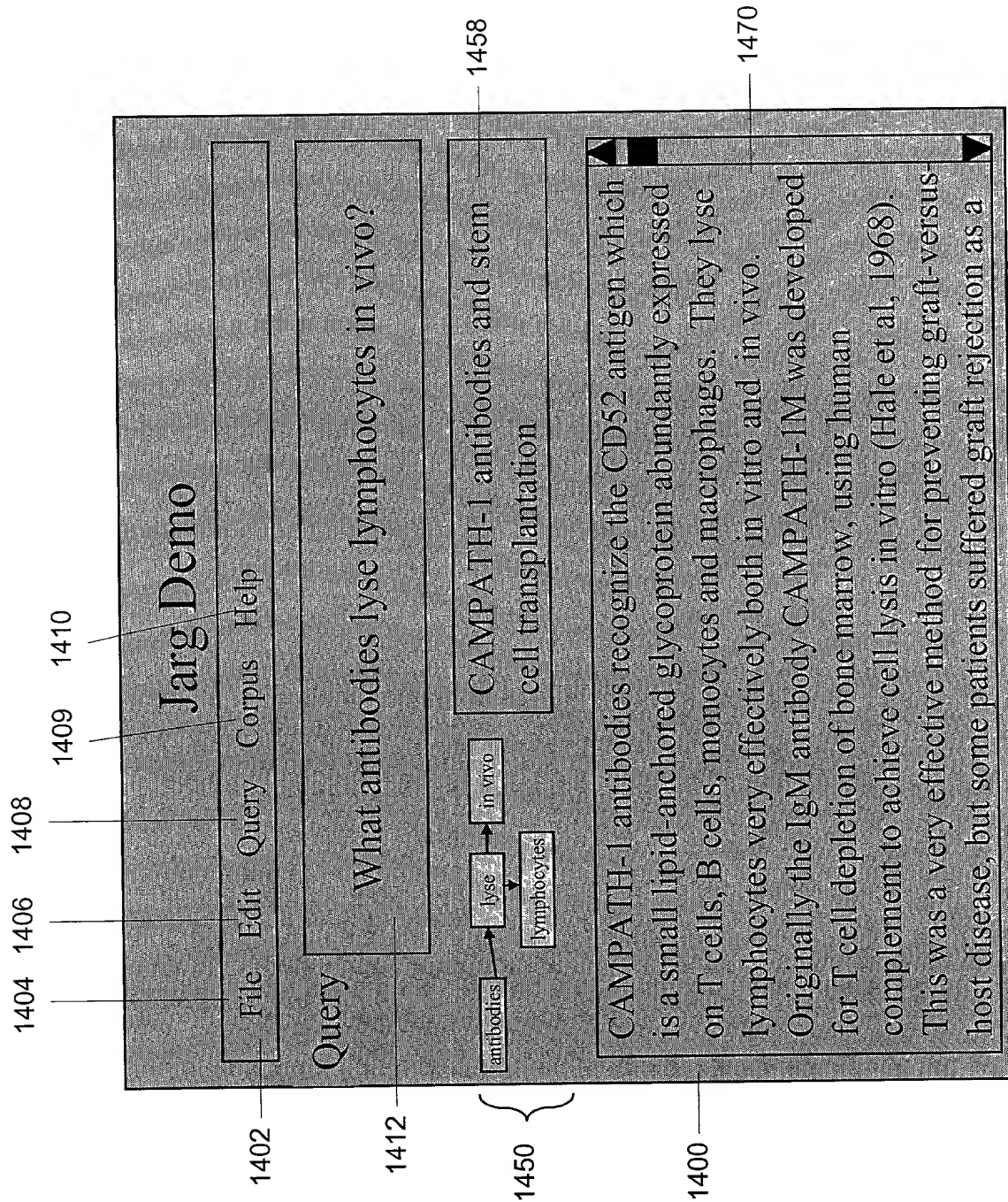


FIG. 14

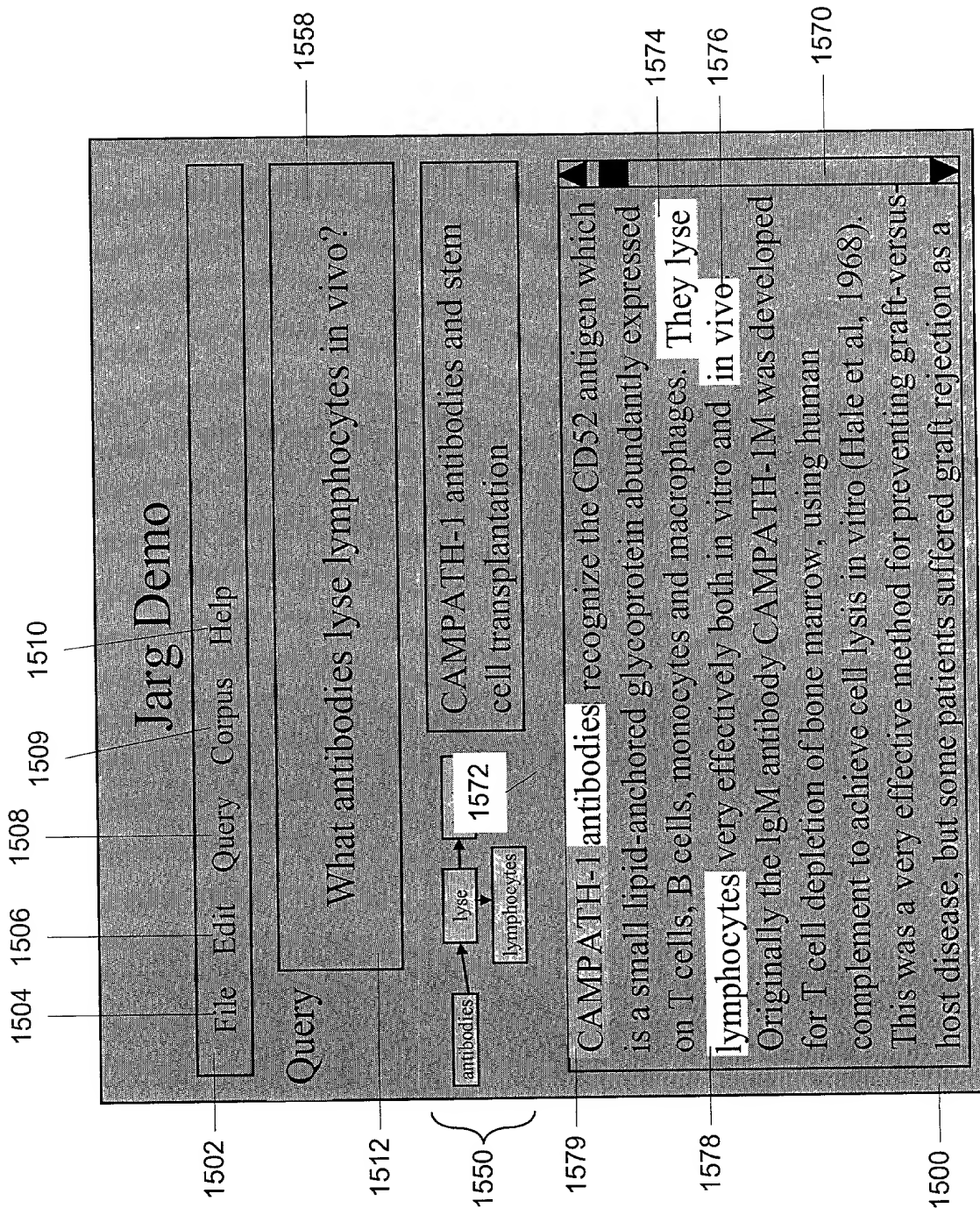


FIG. 15

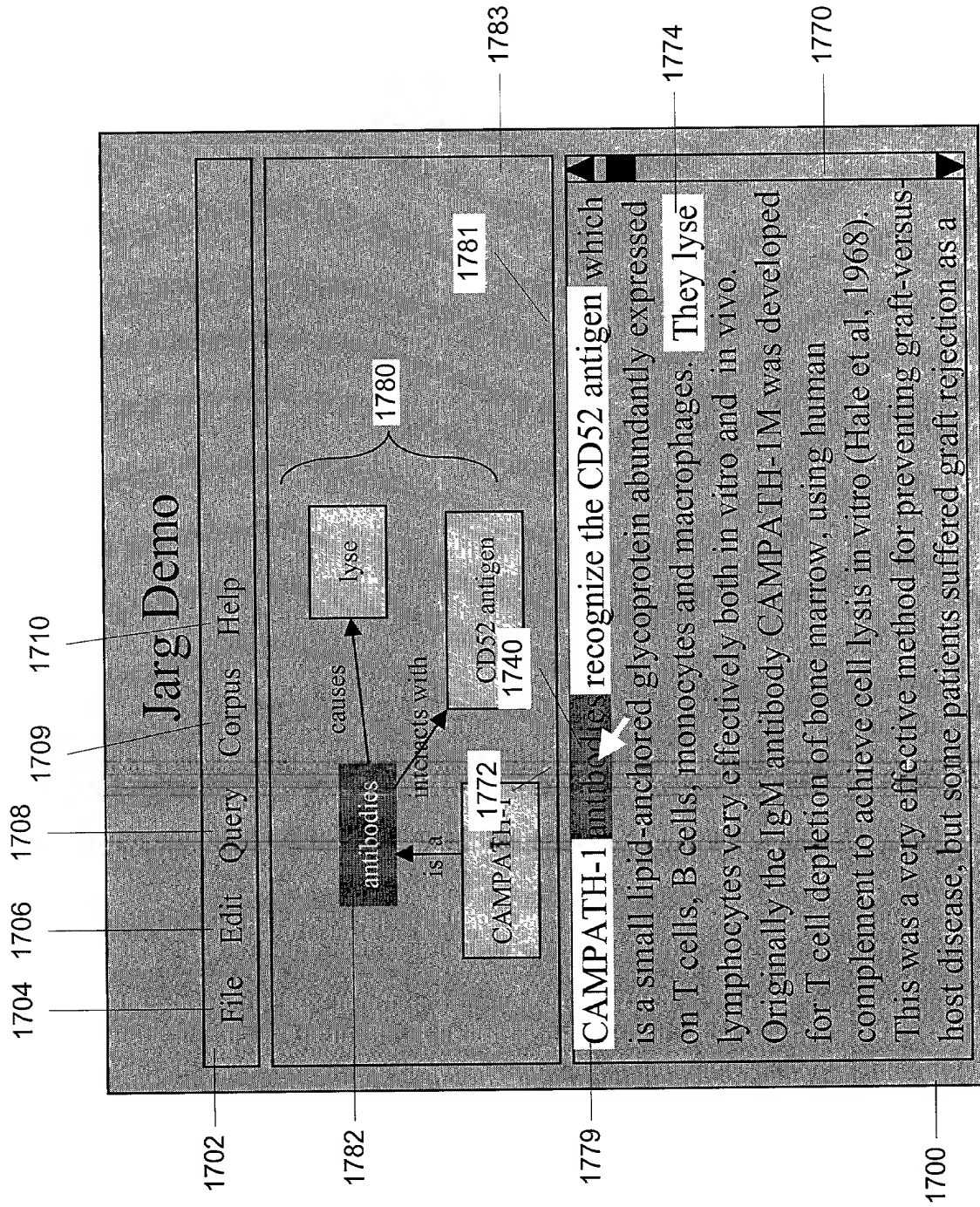


FIG. 17

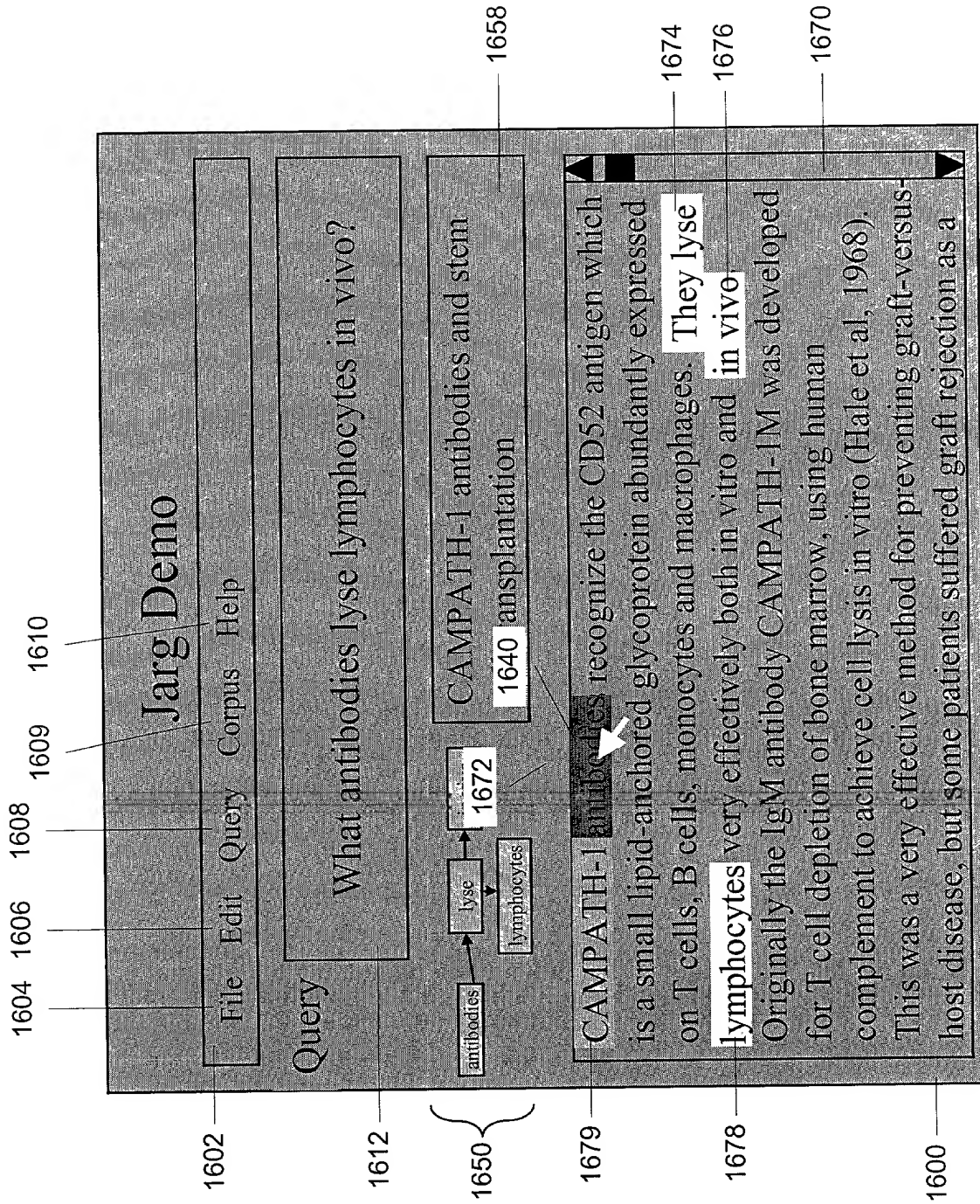


FIG. 16

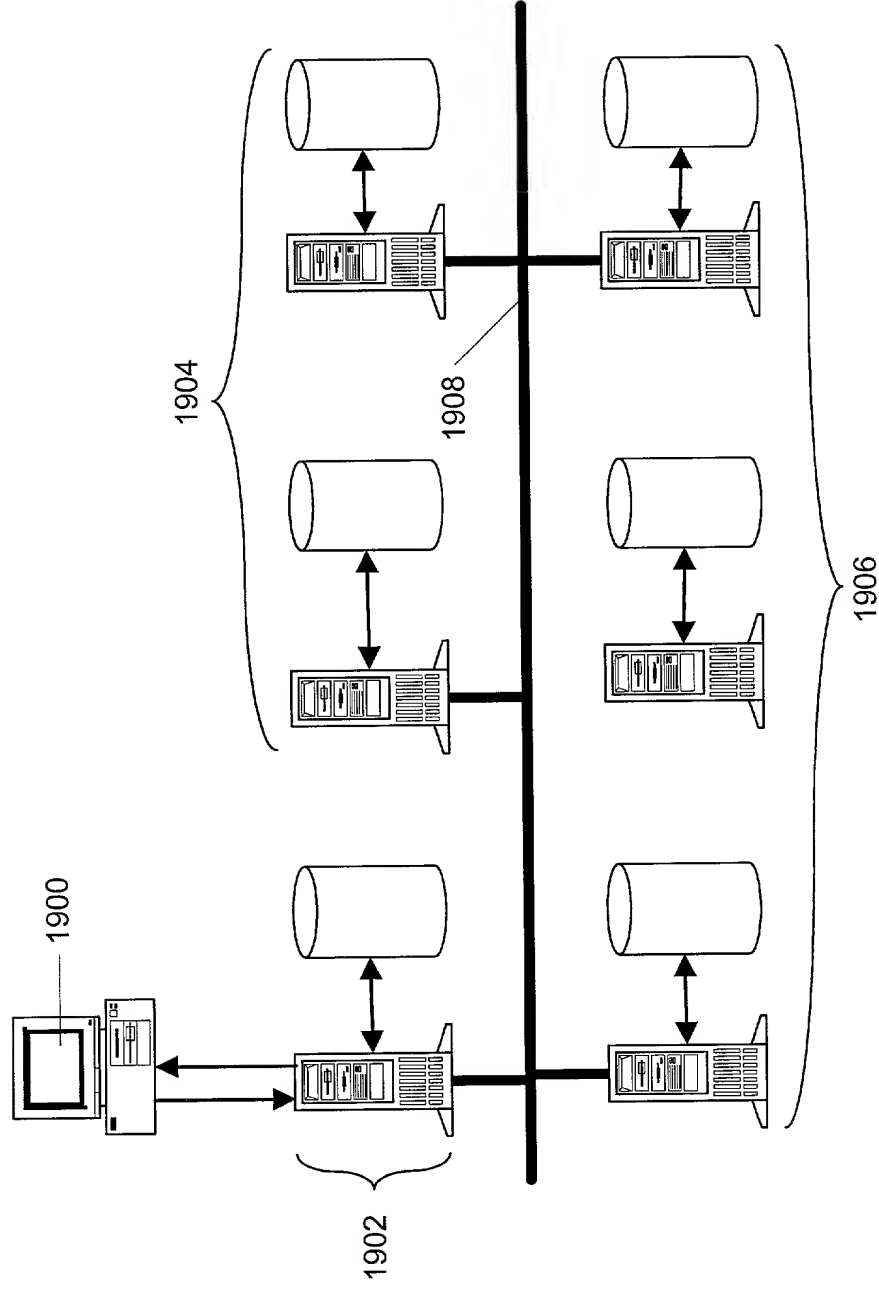


FIG. 19

DECLARATION AND POWER OF ATTORNEY

Docket No. J0003/7005

As a below-named inventor, I hereby declare that:

1. My residence, post-office address and citizenship are as stated below next to my name.
2. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled,
CLASSIFICATION OF INFORMATION SOURCES USING GRAPHIC STRUCTURES,
the specification of which is attached hereto and identified by Docket No. J0003/7005.
3. I have reviewed and understand the contents of the above-identified application specification, including the claims.
4. I acknowledge the duty to disclose all information known to me that is material to patentability as defined in 37 C.F.R. §1.56.

-
5. I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the appropriate box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Filing Date	Priority NOT Claimed	Certified Copy Attached.
			<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto

-
6. I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional applications listed below:

Application No.	Filing Date
60/157,540	10/4/1999

☐ Additional provisional application numbers are listed on a supplemental data sheet attached hereto

-
7. I hereby claim the benefit under 35 U.S.C. §120, of the United States Application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose all information which is material to patentability as defined in 37 C.F.R. §1.56, and which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Filing Date	Parent Patent No.

☐ Additional U.S. or PCT application numbers are listed on a supplemental data sheet attached hereto

-
8. I hereby appoint the attorneys listed under the KUDIRKA & JOBSE, LLP customer number:

021127



jointly, and each of them severally, its attorneys at law, with full power of substitution, delegation and revocation, to prosecute this application to register, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith. Address all correspondence to

Paul E. Kudirka, Esq.

at the customer address for the customer number listed above and

telephone no. (617) 367-4600; facsimile number (617) 367-4656.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

First Inventor Name: Kenneth P. Baclawski

Inventor's Signature:

A handwritten signature in black ink, appearing to read 'Kenneth P. Baclawski', written over a horizontal line.

Date: October 3, 2000

Citizenship: US

Residence Address: 35 Fairmont Avenue, Waltham, Massachusetts 02453

Post Office Address: 35 Fairmont Avenue, Waltham, Massachusetts 02453